



pennsylvania

DEPARTMENT OF HUMAN SERVICES

Mailing Date: January 14, 2020

Attn: Sallianne Schatz Newton | Administrator
Carbon County Children and Youth Services
76 Susquehanna St., 2nd Floor
Jim Thorpe, PA 18229

RE: Carbon County Children and Youth
Services
76 Susquehanna St.
Jim Thorpe, PA 18229

Dear Ms. Schatz-Newton,

As a result of the Pennsylvania Department of Human Services, Office of Children Youth and Families, Northeast Regional Office, review on 01/03/2020 of the plan of correction for, we have determined that your submitted plan of correction is implemented. Continued compliance must be maintained.

Sincerely,

Gerry Lynn Butler
Human Services Program Representative

cc: Northeast Regional Office

JAN 03 '20

LICENSING/APPROVAL/REGISTRATION INSPECTION SUMMARY

Northeast Region

NAME OF AGENCY/FACILITY: Carbon County Children and Youth Services		TELEPHONE: 570-325-3644	OCYF REGIONAL STAFF APPROVAL		DATE
ADDRESS: 76 Susquehanna St. #2 Jim Thorpe, PA 18229		COUNTY: Carbon	<i>Gerry Lynn Butler</i>		01-08-2020
INSPECTED BY: Gerry Lynn Butler		INSPECTION DATE: 10/23/19, 10/24/19	<i>Will [Signature]</i>		1-8-20
INITIAL INSPECTION	RENEWAL INSPECTION	COMPLAINT	UNANNOUNCED INSPECTION	RANDOM SAMPLE	<i>A. Halpern</i>
		X			1-8-2020

1. 55 PA CODE CHAPTER	2. NON-COMPLIANCE AREA	3. CORRECTION REQUIRED	4. REQUIRED CORRECTION DATE	5. PROVIDER'S PLAN OF CORRECTION OR RESPONSE	6. STATUS OF CORRECTION
<p>Pursuant to the Child Protective Services Law, the Department of Human Services must conduct a review and provide a written report of all cases of suspected child abuse that result in fatality or near fatality. The Department of Human Services, Northeast Regional Office conducted a file review in response to a child near fatality report investigated in Carbon County. The file review revealed violations with regard to Chapters 3490, 3130, and the Child Protective Services Law. This licensing inspection summary is being issued in response to those violations. The county agency is currently under a comprehensive plan of correction which may be applicable to the citations.</p>					
3490.232 (g) 3490.234(a)(b)	The county agency received 6 GPS reports regarding the victim child and her sibling in the 10 months preceding the near fatality report. In response to these reports, the county agency did not assess the need for services as required. The county agency did not conduct interviews with the referral sources, agencies involved with the family, and medical professionals known to have information. Collateral contact with medical	The county agency shall interview persons who are known to have or may reasonably be expected to have information that would be helpful to the county agency in determining whether or not the child is in need of general protective services. The county agency shall notify parents of receipt of the report and provide parents with written notification of the county agency's decision to accept or not accept the case	Immediate	<p><u>Plan of Action: A staff meeting will be held and CW's will be read the regulation 3490.234 to ensure that CW's are aware of verbal and written notifications. A checklist will be used in all GPS files to ensure that letters are generated at time of case opening/closure.</u></p> <p><u>The Agency will prepare a checklist for intake workers of any and all collateral contacts that could have knowledge</u></p>	<p><i>WW 1-14-20</i></p> <p><i>JM 1-14-2020</i></p> <p><i>POC monitored 1-3-20</i></p> <p><i>[Signature]</i></p> <p><i>1/14/20</i></p>

	<p>professionals did not occur despite allegations that the victim child was over medicated and not medicated as prescribed. The county agency made no efforts to locate or contact the fathers of the children residing in the home.</p>	<p>for services.</p>		<p><u>about a child's safety and well-being. The CW will document why the collateral contact was not contacted.</u> <u>Monitoring: Checklist will be part of each GPS intake.</u> <u>This POC was submitted in response to a citation on the 2019 agency annual licensing and was approved on 10/16/19 by GLB.</u></p>	<p><i>Approved</i> <i>GLB</i> <i>1-8-2020</i> <i>JM 1-14-2020</i> <i>PO 1-14-20</i></p>
<p>3490.232 (c) 3130.92 3130.21(b) Statewide General Protective Services Response Time Bulletin 3490-12-01</p>	<p>The county agency received a GPS report regarding the family on 3/20/19. The agency did not ensure that the safety of the children was assessed within the response time assigned.</p>	<p>When receiving reports and assessing the need for services, the county agency will make reasonable efforts to establish face-to-face contact with the child within the response time assigned.</p>	<p>Immediate</p>	<p><u>Plan of Action:</u> <u>In all GPS and Screen out reports, the GPS supervisor will assess initial risk to all reports including screen out reports.</u> <u>The GPS supervisor will assign a response time that is in agreement with the General Protective Service Response Time Bulletin 3490-12-01/3490.55 (b) taking into consideration any and all information that is available at the time of the report including but not limited to: any information provided in the report, prior reports, other known county involvement, known legal/court involvement, and supplemental information obtained by other sources.</u></p> <p><u>Monitoring: Policy is available for review</u></p>	<p><i>Approved</i> <i>GLB</i> <i>01-08-2020</i> <i>JM 1-14-2020</i> <i>PO 1-14-20</i></p>

				<p><u>This POC was submitted in response to a citation on the 2019 agency annual licensing and was approved on 10/16/19 by GLB.</u></p>	
3490.235 (e)	<p>Review of the prior GPS reports, reveals that the county agency did not provide supervisory oversight as required.</p>	<p>The county agency supervisor shall review each report alleging a need for general protective services which is being assessed on a regular and ongoing basis to assure that the level of services are consistent with the level of risk to the child, to determine the safety of the child, and the progress made toward reaching a determination on the need for protective services. The supervisor shall maintain a log of these reviews which at a minimum shall include an entry at 10-calendar day intervals during the assessment period.</p>	Immediate	<p><u>Plan of Correction: Supervisor will create a calendar and distribute to all CWs relating to 10 day reviews. CW will be responsible for being available during scheduled times.</u></p> <p><u>Monitoring: Calendar posted in Sup office and handed to CW and Admin</u></p> <p><u>This POC was submitted in response to a citation on the 2019 agency annual licensing and was approved on 10/16/19 by GLB.</u></p>	<p><i>Approved 11/18/2020 PB 14-20</i></p>
CPSL 6375 (c) (1.1)	<p>The county agency received the 6th GPS report on 5/15/19. The county agency screened out this report on 5/26/19 but did not notify the Department of this outcome until 7/15/19. Therefore, a report received by the Department on 6/5/19 was assigned to the county as a supplemental report and there is no indication in the case file that the county</p>	<p>The county agency shall immediately notify the Department upon the completion of the assessment whether the report was determined to be valid or invalid and whether the family was accepted for services or referred to community services.</p>	Immediate	<p><u>Plan of Correction: CW have been informed that cases are not considered closed until the Outcome has been completed and submitted through CWIS. Outcome will be completed immediately after the 10 day review meeting when it is determined that the report can be closed.</u></p> <p><u>Supervisor will have a back up</u></p>	

	<p>agency responded to the 6/5/19 report in any way. The county agency stated that the report was acknowledged by a caseworker and the information was never reviewed by a supervisor. This report included serious allegations of child maitreatment.</p>			<p><u>supervisor to approve reports in the absence of the supervisor to assure no report goes over 60 days.</u></p> <p><u>Monitoring: Supervisor will check alerts and caseworkers caseload daily to verify reports still open and timeframe remaining.</u></p> <p><u>This POC was submitted in response to a citation on the 2019 agency annual licensing and was approved on 10/16/19 by GLB.</u></p>	<p><i>Approved JTB 01-08-2020 Jan 11/11/2020 PO 17420</i></p>
<p>3130.92 3130.21(b) Risk Assessment Policies and Procedures Bulletin 3490- 97-01</p>	<p>The county agency screened out 2 of the 6 prior GPS reports received regarding the family. The case file does not include a clear explanation for the decision to screen out the referral. No initial assessment of safety or risk was made.</p>	<p>When receiving reports and assessing the need for services, the county agency will assign an initial assessment of risk rating. The county agency will ensure documentation is provided to clearly reflect the basis for the assigned risk rating, if the case is accepted for assessment/investigation, and response time.</p>	<p>Immediate</p>	<p><u>Plan of Action: Casework Supervisor will take into account any and all information available when assessing safety/danger in risk rating and subsequent response time. This will be documented in the referral.</u></p> <p><u>Monitoring: During Supervisor and Administrator meetings, a random GPS report will be pulled to assess if response time was appropriate based on Bulletin 3490.12.01 and documented.</u></p> <p><u>This POC was submitted in response to a citation on the 2019 agency annual licensing and was approved on 10/16/19</u></p>	<p><i>Approved JTB 01-08-2020 Jan 11/11/2020 PO 17420</i></p>

by GLB.

3490.321(e)
(f)(h)(i)(j)
3490.232 (d)
3130.21 (b)
3130.92
Safety
Assessment
and Planning
Process
Bulletin 3490-
06-01

The county agency did not assess risk or safety at required intervals, did not assess characteristics of a parent, and documentation in the record did not support the identified level of risk. The risk and safety assessment processes were not utilized to ensure a comprehensive assessment or to determine the need for services.

The county agency will assess risk as often as necessary, ensure comprehensive assessment of risk, and utilize the risk assessment in determining the need for services. The county agency will make a determination of the safety of or risk of harm to the child or any other child if each child continues to remain in the existing home environment.

Immediate

Plan of Action: The Agency will hold a staff meeting to review the safety and risk assessment procedure to ensure that all HHM, parents and children are seen, assessed and interviewed relating to risk and safety. Proper documentation of this process must be reflected in the CAPS contacts.

Monitoring: Supervisors will provide all CW staff with a contact, Risk and Safety that reflects the above plan as a reference. The CW will be given a tool to assist with gathering of this information which will be available in all intake files

This POC was submitted in response to a citation on the 2019 agency annual licensing and was approved on 10/16/19

11/4/2020
Approved
by Supervisor
POC monitored
m-3-20 as original
POC was submitted
m-10-19
OG
11/20

THE LEGAL ENTITY REPRESENTATIVE MUST COMPLETE COLUMN 5, SIGN ON THE SIGNATURE LINE AT THE BOTTOM AND DATE ALL PAGES OF THIS DOCUMENT. RETURN THIS ENTIRE DOCUMENT TO YOUR REGIONAL OFFICE BY _____

SIGNATURE OF LEGAL ENTITY REPRESENTATIVE _____ TITLE _____

DATE _____ TELEPHONE NUMBER _____