pennsylvania
DEPARTMENT OF HUMAN SERVICES

Mr. Jonathan Swatsburg, CEO
Cornell Abraxas Group, Inc.
2840 Liberty Avenue, Suite 300
Pittsburgh, PA 15222

AUG 07 2018

RE: Abraxas Open Residential
PO Box 334
10058 South Mountain Road, Bldg. #3
South Mountain, PA 17261
License #: 310320

Dear Mr. Swatsburg:

As a result of the Department of Human Services' annual licensing inspection on May 6-8, 2019, of the above facility, the violations with 55 Pa. Code Ch. 3800 specified on the enclosed License Inspection Summary were found.

All violations specified on the enclosed License Inspection Summary must be corrected by the dates specified on the License Inspection Summary and continued compliance with 55 Pa. Code Ch. 3800 must be maintained.

Sincerely,

Amy Grippi

Amy Grippi
Acting Deputy Secretary

Enclosure
Licensing Inspection Summary

LICENSING/APPROVAL/REGISTRATION INSPECTION SUMMARY

NAME OF AGENCY/FACILITY: ABRAXAS Leadership Development Program-Open Residential License#: 310320				TELEPHONE: (717)-749-7440	OCYF REGIONAL STAFF APPROVAL	DATE
ADDRESS: 10058 South Mountain Road, South Mountain, PA, 17261				COUNTY: Franklin	Melissa Weaver	7/15/19
INSPECTED BY: Melissa Weaver, Diana Yost, Lestia Fetzer, Sara Harris, Jessica Brown, and Emily Trantham				INSPECTION DATE(S): 05/06/2019-05/08/2019	Diana Yost	7-15-19
INITIAL INSPECTION	RENEWAL INSPECTION	COMPLAINT	UNANNOUNCED INSPECTION	RANDOM SAMPLE	Gabi Nell	7/16/19
	X					

The Department of Human Services conducted an Annual Licensing Inspection of Cornell ABRAXAS-LDP Open Residential on May 6, 2019 to May 8, 2019 for the licensing year extending from 08/01/2018 to 08/01/2019. During the inspection, Departmental staff reviewed 46 of 46 newly hired personnel records, 127 of 127 tenured personnel records, and 2 of 23 child records. Departmental staff reviewed all pre-inspection documents, including fire drills, to measure compliance. During the inspection, a physical site inspection was conducted. 2 staff members and 2 children were interviewed.

The following areas of non-compliance were noted:

1. 55 PA CODE CHAPTER	2. NON-COMPLIANCE AREA	3. CORRECTION REQUIRED	4. REQUIRED CORRECTION DATE	5. PROVIDER'S PLAN OF CORRECTION OR RESPONSE	6. STATUS OF CORRECTION
CPSL.6344(m) 3800.51 3800.52	In 2 of 46 newly hired personnel records reviewed, personnel clearances were not completed in accordance with the Child Protective Services Law: For the personnel hired on [redacted] the ChildLine clearance was not completed until 8/13/18. There was a signed and dated disclosure statement in the record.	**Provisional employees for limited periods.--** Notwithstanding subsection (b), employers, administrators, supervisors or other persons responsible for employment decisions may employ applicants on a provisional basis for a single period not to exceed 90 days, if all of the following conditions are met: (1) The applicant has applied	The agency is required to come into compliance with this requirement immediately and ongoing.	The missing FBI, ChildLine, and Pennsylvania State Police clearances for the personnel hired on [redacted] were documented in the employee's personnel file located at the divisional office in Pittsburgh, PA and are currently on file. The employee's FBI clearance was completed on January 5, 2016, the ChildLine clearance on January 28, 2016, and the Pennsylvania State Police clearance on March 8, 2019. Copies of the clearances are attached.	PLAN ACCEPTED 7-15-19 DLY

	There was not a receipt of application in the record. For the personnel hired on [redacted] he receipt of application for the FBI clearance shows it was applied for on 8/3/18 and completed 8/23/18. There was a disclosure statement in the record that was signed and was not dated. In 1 of 127 tenured personnel records reviewed, personnel clearances were not completed in accordance with the Child Protective Services Law: For the personnel hired on [redacted] the FBI, ChildLine, and Pennsylvania State Police clearances were not located in the record. This is a repeat violation from the annual licensing inspection held on 06/25/18-06/28/18.	for the information required under subsection (b) and the applicant provides a copy of the appropriate completed request forms to the employer, administrator, supervisor or other person responsible for employment decisions. (2) The employer, administrator, supervisor or other person responsible for employment decisions has no knowledge of information pertaining to the applicant which would disqualify him from employment pursuant to subsection (c). (3) The applicant swears or affirms in writing that he is not disqualified from employment pursuant to subsection (c) or has not been convicted of an offense similar in nature to those crimes listed in subsection (c) under the laws or former laws of the United States or one of its territories or possessions, another state, the District of Columbia, the Commonwealth of Puerto Rico or a foreign nation, or under a former law of this Commonwealth. (4) If the information obtained pursuant to subsection (b) reveals that the applicant is disqualified from employment pursuant to subsection (c), the applicant shall be immediately		Beginning May 9, 2019, all staff will be required to supply a receipt of application for the ChildLine, FBI, Pennsylvania State Police clearances and properly completed disclosure statements to the facility recruiter prior to their official start date. Failure to supply these receipts will result in the employee being unable to start work. The HR coordinator will verify that all disclosure statements are signed and dated upon receipt of the documents and will monitor the process to verify compliance on an ongoing basis.	PLAN ACCEPTED 7-15-19

		dismissed by the employer, administrator, supervisor or other person responsible for employment decisions. (5) The employer, administrator, supervisor or other person responsible for employment decisions requires that the applicant not be permitted to work alone with children and that the applicant work in the immediate vicinity of a permanent employee. Child abuse and criminal history checks shall be completed in accordance with 23 Pa.C.S. § § 6301—6385 (relating to the Child Protective Services Law) and Chapter 3490 (relating to protective services). Staff hiring retention and utilization shall be in accordance with 23 Pa.C.S. § § 6301—6385 (relating to the Child Protective Services Law) and Chapter 3490 (relating to protective services). The agency shall identify the staff position responsible to monitor and ensure compliance.			PLAN ACCEPTED 7-15-19
3800.151	In 13 of 127 tenured personnel records reviewed, the following was found regarding personnel health statements:	A staff person or volunteer who comes into direct contact with the children or who prepares or serves food, shall have a statement signed and dated by a licensed	The agency is required to come into compliance with this requirement immediately and	The missing health statements for the personnel hired o[redacted] an[redacted] were obtained and filed in both employees' personnel records May 9, 2019. Copies of the health statements are attached.	

	For the personnel hired on [redacted], a previous health statement expired on 5/27/18 and another was not completed until 6/2/18. For the personnel hired on [redacted] a previous health statement expired on 4/6/19 and another was not completed until 4/20/19. For the personnel hired on [redacted] a previous health statement expired on 9/29/17 and another was not completed until 4/1/19. For the personnel hired on [redacted] a previous health statement expired on 4/4/19 and another was not completed until 4/18/19. For the personnel hired on [redacted] a previous health statement expired on 2/23/19 and another was not completed until 3/4/19. For the personnel hired on [redacted] a previous health statement expired on 12/3/18 and another was not completed until 12/20/18. For the personnel hired on [redacted] a previous health statement expired on 8/3/18 and another was not completed until 9/1/18. For the personnel hired on	physician, certified registered nurse practitioner or licensed physician's assistant, within 12 months prior to working with children or food service and every 2 years thereafter, stating that the person is free of serious communicable disease that may be spread through casual contact or that the staff person has a serious communicable disease that may be spread through casual contact but is able to work in the facility if specific precautions are taken that will prevent the spread of the disease to children. The agency shall identify the staff position responsible to monitor and ensure compliance.	ongoing.	By July 31, 2019, the Facility Director will distribute a memo to all employees regarding the regulatory requirements of 3800.151, staff health statements. Effective immediately, the Human Resources Coordinator (HRC) will track the dates of all employee health statements. When a health statement is thirty days away from expiring, the HRC will directly notify the employee and provide them with the required form. The HRC will include the due date of the health statement on the Human Resources Report, which will be sent out weekly to the Facility Director. The Facility Director will monitor the process to verify compliance.	PLAN ACCEPTED 7-15-19 BY

	[redacted] a previous health statement expired on 1/6/19 and another was not completed until 1/20/19. For the personnel hired on [redacted] a previous health statement expired on 3/31/18 and another was not completed until 4/30/18. For the personnel hired on [redacted] a previous health statement expired on 3/26/19 and another was not completed until 4/19/19. For the personnel hired on [redacted] previous health statement expired on 6/7/18 and another was not completed until 1/25/19. For the personnel hired on [redacted] a previous health statement expired on 11/14/18 and another health statement was not located in the record. For the personnel hired on [redacted] a previous health statement expired on 4/24/19 and another health statement was not located in the record.				
3800.188(a)	In 1 of 46 newly hired personnel records reviewed, the personnel hired on [redacted] administered medication to a child without being medication administration trained on 11/8/18.	A staff person who has completed and passed a Department-approved medications administration course within the past 2 years is permitted to administer oral, topical and eye and ear drop prescription medications	The agency is required to come into compliance with this requirement immediately and ongoing.	Removed.	PLAN ACCEPTED 7-15-19 Ely

	This is a repeat violation from the annual licensing inspection held on 06/25/18-06/28/18.	and epinephrine injections for insect bites. The agency shall identify the staff position responsible to monitor and ensure compliance.			PLAN ACCEPTED 7-15-19 DSJ

THE LEGAL ENTITY REPRESENTATIVE MUST COMPLETE COLUMN 5, SIGN ON THE SIGNATURE LINE AT THE BOTTOM AND DATE ALL PAGES OF THIS DOCUMENT. RETURN THIS ENTIRE DOCUMENT WHEN COMPLETED TO YOUR REGIONAL OFFICE NO LATER THAN: 06/24/2019

[signature]	Divisional Vice President
SIGNATURE OF LEGAL ENTITY REPRESENTATIVE	TITLE
7/12/2019	412-201-4112
DATE	TELEPHONE NUMBER