pennsylvania
DEPARTMENT OF HUMAN SERVICES

NOV 0 9 2018

Mr. Jonathan Swatsburg, CEO
Cornell Abraxas Group, Inc.
2840 Liberty Avenue, Suite 300
Pittsburgh, PA 15222

RE: Abraxas Open Residential
PO Box 354
10058 South Mountain Road, Building #3
South Mountain, PA 17261
License #: 310320

Dear Mr. Jonathan Swatsburg:

As a result of the Department of Human Services' annual licensing inspection on June 25-28, 2018, of the above facility, the violations with 55 Pa. Code Ch. 3800 specified on the enclosed License Inspection Summary were found.

All violations specified on the enclosed License Inspection Summary must be corrected by the dates specified on the License Inspection Summary and continued compliance with 55 Pa. Code Ch. 3800 must be maintained.

Sincerely,

Cathy A. Utz
Deputy Secretary

Enclosure
Licensing Inspection Summary

# LICENSING/APPROVAL/REGISTRATION INSPECTION SUMMARY

| NAME OF AGENCY/FACILITY: Cornell ABRAXAS-LDP Open Residential License#: 310320 | | | | TELEPHONE: 717-749-3066 | OCYF REGIONAL STAFF APPROVAL | DATE |
|---|---|---|---|---|---|---|
| ADDRESS: 10058 South Mountain Road, South Mountain, PA, 17261 | | | | COUNTY: Franklin | Melissa Weaver | 11/5/18 |
| INSPECTED BY: Melissa Weaver, Kip Cherry, Emily Trantham, Diana Yost, Jessica Brown, Sara Harris, Mark Schrode, Frank Adams | | | | INSPECTION DATE(S): 06/25/2018-6/28/2018 | Diana Yost | 11-5-18 |
| INITIAL INSPECTION | RENEWAL INSPECTION | COMPLAINT | UNANNOUNCED INSPECTION | RANDOM SAMPLE | Gabi | 11/5/18 |
| | X | | | | | |

The Department of Human Services conducted an Annual Licensing Inspection of Cornell ABRAXAS-LDP Open Residential on June 25-28, 2018 for the licensing year extending from 08/01/2017 to 08/01/2018. During the inspection, Departmental staff reviewed 51 of 51 newly hired personnel records, 121 of 121 tenured personnel records, and 4 of 39 child records. Departmental staff reviewed all pre-inspection documents, including fire drills, to measure compliance. During the inspection, a physical site inspection was conducted. 2 staff members and 2 children were interviewed.

The following areas of non-compliance were noted:

| 1. 55 PA CODE CHAPTER | 2. NON-COMPLIANCE AREA | 3. CORRECTION REQUIRED | 4. REQUIRED CORRECTION DATE | 5. PROVIDER'S PLAN OF CORRECTION OR RESPONSE | 6. STATUS OF CORRECTION |
|---|---|---|---|---|---|
| 3800.51 | In 1 of 121 tenured personnel records reviewed, there was not a Childline clearance in the record for the personnel hired on [redacted] | Child abuse and criminal history checks shall be completed in accordance with 23 Pa.C.S. § § 6301—6385 (relating to the Child Protective Services Law) and Chapter 3490 (relating to protective services).<br><br>The agency shall identify the staff position responsible to monitor and ensure compliance. | The agency is required to come into compliance with this requirement immediately and ongoing. | Beginning November 1, 2018, prior to beginning new hire training, all new employees will be required to provide proof that they applied for the PA child abuse clearance. Prior to working alone with children, the employee must provide the PA child abuse clearance to the HR Coordinator immediately upon receipt. The HR Coordinator is responsible for monitoring the process and will inform the facility administration if the clearance is not received. | Plan Accepted 11-5-18 Dey |

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|---|---|---|---|---|---|
| 3800.188(a) | [redacted] personnel hired on [redacted] was trained as a practicum observer on 7/29/14. This certification expired on 7/29/17. The personnel observed 1 personnel on 12/12/17 and 2 personnel on 8/29/17. These 3 personnel administered medications in 2018 with invalid medication administration training. | A staff person who has completed and passed a Department-approved medications administration course within the past 2 years is permitted to administer oral, topical and eye and ear drop prescription medications and epinephrine injections for insect bites.<br><br>The agency shall identify the staff position responsible to monitor and ensure compliance. | The agency is required to come into compliance with this requirement immediately and ongoing | The Quality & Compliance Specialist reviewed all medication administration training records with OCYF staff to determine which employees were permitted to administer medications. Staff members who did not meet the training requirements were immediately notified and no further medications were administered until retraining occurred. On July 13, 2018, the Nurse Manager was retrained as a practicum observer, permitting her to conduct observations of staff administering medications. The Nurse Manager will monitor the medication administration training process to verify re-training occurs prior to the expiration of certification. | Plan Accepted 11-5-18 DLJ |
| 3800.224(a) | In 1 of 4 child records reviewed, the child admitted on [redacted] had an Individualized Service Plan completed late on 3/27/18. | An ISP shall be developed for each child within 30 calendar days of the child's admission.<br><br>The agency shall identify the staff position responsible to monitor and ensure compliance. | The agency is required to come into compliance with this requirement immediately and ongoing | By November 30, 2018, the requirements for ISP development will be reviewed with all clinical staff who are responsible to complete ISPs. The Clinical Services Coordinator will maintain an Individualized Service Plan (ISP) Tracker to monitor the upcoming due dates of ISP reports. The Clinical Services Coordinator will communicate directly with the clinical staff responsible for writing reports to verify they are completed within the required timeframe. | Plan Accepted 11-5-18 DLJ |

THE LEGAL ENTITY REPRESENTATIVE MUST COMPLETE COLUMN 5, SIGN ON THE SIGNATURE LINE AT THE BOTTOM AND DATE ALL PAGES OF THIS DOCUMENT. RETURN THIS ENTIRE DOCUMENT WHEN COMPLETED TO YOUR REGIONAL OFFICE NO LATER THAN: 11/06/2018

Jonathan P. Swatsburg — Divisional Vice President

SIGNATURE OF LEGAL ENTITY REPRESENTATIVE — TITLE

11/2/2018 — 412-201-4112

DATE — TELEPHONE NUMBER