



pennsylvania
DEPARTMENT OF HUMAN SERVICES

MAY 24 2017

Ms. Amy J. Speece,
Partner
Knickerbocker Acquisition, LLC
P.O. Box 761
Hummelstown, Pennsylvania 17036

RE: Knickerbocker Villa
304 South Second Street
Clearfield, Pennsylvania 16830
License #: 326940

Dear Ms. Speece:

As a result of the Department of Human Services' annual licensing inspections on February 7, 2017 and February 8, 2017 of the above facility, the violations with 55 Pa.Code Ch. 2600 (relating to Personal Care Homes) specified on the enclosed License Inspection Summary were found.

All violations specified on the enclosed License Inspection Summary must be corrected by the dates specified on the License Inspection Summary and continued compliance with 55 Pa.Code Ch. 2600 must be maintained.

In an effort to improve our licensing processes, the Bureau of Human Services Licensing is soliciting feedback about your recent human services licensing inspection experience. To participate in the online provider survey, launch your web browser and go to https://www.surveymonkey.com/r/BHSL_Inspection.

The survey is brief and will only take about 5 minutes to complete. Your participation in the survey is completely voluntary and all of your responses will be kept confidential. The responses will be reviewed as part of an aggregate of provider inspection responses. Thank you in advance for providing feedback.

Sincerely,

A handwritten signature in black ink that reads "J. Rowe".

Jacqueline L. Rowe
Director

Enclosure
License Inspection Summary

**VIOLATION REPORT
PERSONAL CARE HOMES - 55 Pa.Code Chapter 2600**

PCH Name: KNICKERBOCKER VILLA		License Number: 32694
Address: 304 SOUTH SECOND STREET, CLEARFIELD, PA 16830		County: Clearfield
Administrator: Roxanne Sallurday		Region: WEST
Legal Entity Name: KNICKERBOCKER ACQUISITION LLC		
Legal Entity Address: PO BOX 761, HUMMELSTOWN, PA 17036		
Certificate(s) of Occupancy		
C-2 LP	I-1	
03/06/1998	07/10/2015	
Labor & Industry	Labor & Industry	
Staffing Hours		
Resident Support: N/A	Total Daily Staff: 52	Waking Staff: 39
Type of Inspection: Full	BHA Docket Number: N/A	Notice: Unannounced
Reason(s) for Inspection(s)		
Renewal		
On-Site Inspections Dates and Department Representatives On-Site		<p align="center">RECEIVED</p> <p align="center">APR 10 2017</p> <p align="center">WEST REGION FIELD OFFICE Human Services Licensing</p>
02/07/2017: Park, Beth; McConnell, Deb		
02/08/2017: Park, Beth; McConnell, Deb		
Off-Site Inspection Dates and Inspectors, if Applicable		
Other Details		
Partial or Full Triggers:		Random Indicators:
Resident Demographic Data as of Inspection Dates		
Licensed Capacity: 70 Number of Residents Served: 41 Secured Dementia Care Unit in Home: Yes Area: Back area of building Secured Dementia Unit Capacity, if Applicable: 17 Number of Residents Served in Secured Dementia Care Unit, if applicable: 9 Number of Current Hospice Residents: 3 Number of Hospice Residents in past year: 9		Number of Residents who: Receive Supplemental Security Income: 7 Are 60 Years of Age or Older: 39 Have Mental Illness: 5 Have an Intellectual Disability: 1 Have a Mobility Need: 11 Have a Physical Disability: 0

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Violation Report: 32694 - 02/07/2017 - Park, Beth
PCH Name: KNICKERBOCKER VILLA

WEST VIRGINIA FIELD OFFICE
Morgantown, WV 26604-1200

1. REGULATION 55 Pa.Code §2600

2600.25(d) SOPc - If the home collects a resident's rent rebate under § 2600.25(a), the resident-home contract is to include a statement signed by the resident, and the resident's designated person if applicable, at the time of admission, informing the resident that the information required in § 2600.25(a) is to be kept in the resident's record.

2a. DESCRIPTION OF VIOLATION

Resident #1's resident-home contract, dated [redacted] 15, does not include the rent rebate addendum. The home collects a portion of the rent rebate from some residents, including resident #1.

3. PLAN OF CORRECTION (POC) (Attach pages as necessary. Remember that you must sign and date any attached pages.)

Include steps to correct the violation described above and steps to prevent a similar violation from occurring again. If steps cannot be completed immediately, include dates by which the steps will be completed.

See Attached

See page 2^a of 8

Repeat Violation: No Date(s) of Previous Violation(s):

Signature of Legal Entity Representative
(Required on EVERY Page) *Roxanne Sallurday*

Printed Name and Title of Legal Entity Representative
(Required on EVERY Page) *Roxanne Sallurday Administrator* Date *4/17/17*

DEPARTMENT USE ONLY - HOMES MAY NOT WRITE BELOW THIS LINE!

The above plan of correction is approved as of 4/11/17
(Date)

Plan of correction implementation status as of 4/11/17
(Date)

The above plan of correction was approved by RS
(Initials)

- Fully Implemented
- Partially Implemented - Adequate Progress *RS*
- Partially Implemented - Inadequate Progress
- Not Implemented

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Knickerbocker Villa
License# 326940

WEST REGION FIELD OFFICE
Human Services Licensing

Regulation Cited: 2600.25(d) SOPc -- If the home collects a resident's rent rebate under 2600.25(a), the resident-home contract is to include a statement signed by the resident, and the resident's designated person if applicable, at the time of admission, informing the resident that the information required in 2600.25(a) is to be kept in the resident's record.

Plan of Correction: Immediately, upon inspection the rent rebate addendum was reviewed with resident #1, and resident #1 signed, and it was filed with resident's record. Upon all admissions, the rent rebate addendum will be completed with the homes contract. Documentation will be kept.

Person responsible for preventing future violations: Administrator

Date Corrected: February 7, 2017

Roxanne Sally 4/7/17
Administrator/Date

Within 15 days of receipt of the plan of correction = a designated staff person will review the records for all residents for whom the home collects a portion of the rent rebate to ensure the required addendum is present and includes the resident's signature and the designated persons signature if applicable. *RLS*
4/10/17

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Violation Report: 32694 - 02/07/2017 - Park, Beth
PCH Name: KNICKERBOCKER VILLA

WEST REGION FIELD OFFICE
Human Services Licensing

1. REGULATION 55 Pa.Code §2600

2600.42(s) - A resident has the right to privacy of self and possessions. Privacy shall be provided to the resident during bathing, dressing, changing and medical procedures.

2a. DESCRIPTION OF VIOLATION

Resident #2, who resides in bedroom #24, shares a bathroom with bedroom #26. Neither bathroom door locks from the inside to afford privacy while in use.

Resident #3, who resides in bedroom #16, shares a bathroom with bedroom #18. Neither bathroom door locks from the inside to afford privacy while in use.

3. PLAN OF CORRECTION (POC) (Attach pages as necessary. Remember that you must sign and date any attached pages.)

Include steps to correct the violation described above and steps to prevent a similar violation from occurring again. If steps cannot be completed immediately, include dates by which the steps will be completed.

See Attached

See page 3^a of 8

Repeat Violation: No

Date(s) of Previous Violation(s):

Signature of Legal Entity Representative
(Required on EVERY Page)

Kyanne Sallurday

Printed Name and Title of Legal Entity Representative
(Required on EVERY Page)

Kyanne Sallurday, Administrator

Date 4/7/17

DEPARTMENT USE ONLY - HOMES MAY NOT WRITE BELOW THIS LINE!

The above plan of correction is approved as of 4/11/17
(Date)

Plan of correction implementation status as of 4/11/17
(Date)

- Fully Implemented
- Partially Implemented - Adequate Progress *AK*
- Partially Implemented - Inadequate Progress
- Not Implemented

The above plan of correction was approved by AK
(Initials)

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WEST REGION FIELD OFFICE
Human Services Licensing

Knickerbocker Villa
License# 326940

Regulation Cited: 2600.42(s) – The resident has the right to privacy of self and possessions. Privacy shall be provided to the resident during bathing, dressing, changing and medical procedures.

Plan of Correction: Immediately, locks were installed in the shared bathrooms of rooms #24, #26, #16, and #18 for resident #1 and resident #2. Maintenance will monitor daily to ensure all rooms are equipped to provide privacy for all residents. Documentation will be kept.

Person responsible for preventing future violations: Maintenance Director/Administrator

Date Corrected: February 8, 2017

Royane Salby 4/7/17
Administrator/Date

9/10
4/8/17

Knickerbocker Villa
License#326940

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Violation Report: 32694 - 02/07/2017 - Park, Beth
PCH Name: KNICKERBOCKER VILLA

WEST VIRGINIA FIELD OFFICE
Human Services Licensing

1. REGULATION 55 Pa.Code §2600

2600.65(f) - Training topics for the annual training for direct care staff persons shall include the following:

- (1) Medication self-administration training.
- (2) Instruction on meeting the needs of the residents as described in the preadmission screening form, assessment tool, medical evaluation and support plan.
- (3) Care for residents with dementia and cognitive impairments.
- (4) Infection control and general principles of cleanliness and hygiene and areas associated with immobility, such as prevention of decubitus ulcers, incontinence, malnutrition and dehydration.
- (5) Personal care service needs of the resident.
- (6) Safe management techniques.
- (7) Care for residents with mental illness or mental retardation, or both, if the population is served in the home.

2a. DESCRIPTION OF VIOLATION

Direct care staff person A, hired on [redacted]/2015, and direct care staff person B, hired [redacted] 2013, did not have training during the 2016 training year in the following required topics:

- * Medication Self Administration
 - * Care for residents with mental illness or mental retardation or both if the population is served in the home
- Currently the home serves 5 persons with a mental illness diagnosis and 1 person with an intellectual disability diagnosis.

3. PLAN OF CORRECTION (POC) (Attach pages as necessary. Remember that you must sign and date any attached pages.)

Include steps to correct the violation described above and steps to prevent a similar violation from occurring again. If steps cannot be completed immediately, include dates by which the steps will be completed.

See Attached

See page 4^a of 8

Repeat Violation: No	Date(s) of Previous Violation(s):		
Signature of Legal Entity Representative (Required on EVERY Page) <i>Roxanne Sallurcky</i>			
Printed Name and Title of Legal Entity Representative (Required on EVERY Page) <i>Roxanne Sallurcky - Administrator</i>			Date <i>4/7/17</i>
DEPARTMENT USE ONLY - HOMES MAY NOT WRITE BELOW THIS LINE!			
The above plan of correction is approved as of <u>4/11/17</u> (Date)		Plan of correction implementation status as of <u>4/11/17</u> (Date)	
The above plan of correction was approved by <u><i>RS</i></u> (Initials)		<input type="checkbox"/> Fully Implemented <input checked="" type="checkbox"/> Partially Implemented - Adequate Progress <i>RS</i> <input type="checkbox"/> Partially Implemented - Inadequate Progress <input type="checkbox"/> Not Implemented	

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WEST REGION FIELD OFFICE
Human Services Licensing

Knickerbocker Villa
License# 326940

Regulation Cited: 2600.65(f) – Training topics for the annual training for direct care staff persons shall include the following:

- (1) Medication self-administration training.
- (2) Instruction on meeting the needs of the residents as described in the preadmission screening form, assessment tool, medical evaluation and support plan.
- (3) Care for residents with dementia and cognitive impairments.
- (4) Infection control and general principles of cleanliness and hygiene and areas associated with immobility, such as prevention of decubitus ulcers, incontinence, malnutrition and dehydration.
- (5) Personal care service needs of the resident.
- (6) Safe management techniques.
- (7) Care for residents with mental illness or mental retardation, or both, if the population is served in the home.

Plan of Correction: Immediately, direct care staff A and direct care staff B received training on Medication Self Administration, mental illness and intellectual disabilities. The administrator will review staff training at least quarterly, as part of the homes quality management program, to ensure all staff training is current.

Person responsible for preventing future violations: Administrator

Date Corrected: February 13, 2017, February 15, 2017

Roxanne Jolley 4/7/17
Administrator/Date

pu. 4/11/17

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Violation Report: 32694 - 02/07/2017 - Park, Beth
PCH Name: KNICKERBOCKER VILLA

WEST REGION FIELD OFFICE
Human Services Licensing

1. REGULATION 55 Pa. Code §2600

2600.84 - Heat sources, such as steam and hot heating pipes, water pipes, fixed space heaters, hot water heaters and radiators exceeding 120°F that are accessible to the resident must be equipped with protective guards or insulation to prevent the resident from coming in contact with the heat source.

2a. DESCRIPTION OF VIOLATION

The PTAC heating unit in bedroom #38 had a surface temperature of 132.4 degrees Fahrenheit with no protective guard in place.

3. PLAN OF CORRECTION (POC) (Attach pages as necessary. Remember that you must sign and date any attached pages.)

Include steps to correct the violation described above and steps to prevent a similar violation from occurring again. If steps cannot be completed immediately, include dates by which the steps will be completed.

See Attached

See page 5 of 8

Repeat Violation: No Date(s) of Previous Violation(s):

Signature of Legal Entity Representative (Required on EVERY Page) *Roxanne Sallurday*

Printed Name and Title of Legal Entity Representative (Required on EVERY Page) *Roxanne Sallurday* Date *4/7/17*

DEPARTMENT USE ONLY - HOMES MAY NOT WRITE BELOW THIS LINE!

The above plan of correction is approved as of 4/11/17 (Date)

Plan of correction implementation status as of 4/11/17 (Date)

The above plan of correction was approved by RW. (Initials)

- Fully Implemented *RW.*
- Partially Implemented - Adequate Progress
- Partially Implemented - Inadequate Progress
- Not Implemented

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APR 10 2017

WEST REGION FIELD OFFICE
Human Services Licensing

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Knickerbocker Villa
License# 326940

Regulation Cited: 2600.84 – Heat sources, such as steam and hot heating pipes, water pipes, fixed space heaters, hot water heaters and radiators exceeding 120 degrees F. that are accessible to the resident must be equipped with protective guards or insulation to prevent the resident from coming in contact with the heat source.

Plan of Correction: Immediately, a protective guard was placed on the PTAC heating unit. Maintenance will monitor daily to ensure all PTAC units are equipped with a protective guard, which are accessible to residents. Photo attached. Documentation will be kept.

Person responsible for preventing future violations: Maintenance Director/ Administrator

Date Corrected: February 9, 2017

Roxanne Salby 4/7/17
Administrator/Date

JW 4/10/17

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APR 19 2017

Violation Report: 32694 - 02/07/2017 - Park, Beth
PCH Name: KNICKERBOCKER VILLA

WEST REGION FIELD OFFICE
Human Services Licensing

1. REGULATION 55 Pa.Code §2600
2600.91 - Telephone numbers for the nearest hospital, police department, fire department, ambulance, poison control, local emergency management and personal care home complaint hotline shall be posted on or by each telephone with an outside line.

2a. DESCRIPTION OF VIOLATION
The emergency telephone numbers were not posted on or near the telephones in resident #2 and resident #3's bedrooms.

3. PLAN OF CORRECTION (POC) (Attach pages as necessary. Remember that you must sign and date any attached pages.)
Include steps to correct the violation described above and steps to prevent a similar violation from occurring again. If steps cannot be completed immediately, include dates by which the steps will be completed.

See Attached

See page 6 of 8

Repeat Violation: Yes Date(s) of Previous Violation(s): 06/01/2016

Signature of Legal Entity Representative (Required on EVERY Page) *Roxanne Salby*

Printed Name and Title of Legal Entity Representative (Required on EVERY Page) *Roxanne Salby Administrator* Date *4/17/17*

DEPARTMENT USE ONLY - HOMES MAY NOT WRITE BELOW THIS LINE!

The above plan of correction is approved as of 4/11/17
(Date)

Plan of correction implementation status as of 4/11/17
(Date)

The above plan of correction was approved by RS
(Initials)

- Fully Implemented
- Partially Implemented - Adequate Progress *RS*
- Partially Implemented - Inadequate Progress
- Not Implemented

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WEST REGION FIELD OFFICE
Human Services Licensing

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Knickerbocker Villa
License# 326940

Regulation Cited: 2600.91- Telephone numbers for the nearest hospital, police department; fire department, ambulance, poison control, local emergency management and personal care home complaint hotline shall be posted on or by each telephone with an outside line.

Plan of Correction: Immediately, upon inspection, all required telephone numbers were posted by resident #2 and resident #3 telephones. Maintenance will monitor daily to ensure the required telephone numbers are posted at each telephone with an outside line. Documentation will be kept.

Person responsible for preventing future violations: Maintenance Director/Administrator

Date Corrected: February 7, 2017

Ryanne Sally 4/7/17
Administrator/Date

Within 30 days of receipt of the plan of correction: all staff persons will be educated on the requirement that all telephones with an outside line must have all of the telephone numbers required by 2600.91 posted on or by the telephone. Documentation of the education shall be kept.

RL
4/11/17

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APR 10 2017

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Violation Report: 32694 - 02/07/2017 - Park, Beth
PCH Name: KNICKERBOCKER VILLA

WEST REGION FIELD OFFICE
Human Services Licensing

1. REGULATION 55 Pa.Code §2600

2600.253(c) - The home shall keep a log of resident records destroyed on or after October 24, 2005. This log must include the resident's name, record number, birth date, admission date and discharge date.

2a. DESCRIPTION OF VIOLATION

The home's log of resident records that have been destroyed does not contain the residents' birth dates or admission dates.

3. PLAN OF CORRECTION (POC) (Attach pages as necessary. Remember that you must sign and date any attached pages.)

Include steps to correct the violation described above and steps to prevent a similar violation from occurring again. If steps cannot be completed immediately, include dates by which the steps will be completed.

See Attached

See page 8 of 8

Repeat Violation: No Date(s) of Previous Violation(s):

Signature of Legal Entity Representative (Required on EVERY Page) *Roxanne Sallurday*

Printed Name and Title of Legal Entity Representative (Required on EVERY Page) *Roxanne Sallurday Administrator* Date *4/7/17*

DEPARTMENT USE ONLY - HOMES MAY NOT WRITE BELOW THIS LINE

The above plan of correction is approved as of 4/11/17
(Date)

Plan of correction implementation status as of 4/11/17
(Date)

- Fully Implemented *AK*
- Partially Implemented - Adequate Progress
- Partially Implemented - Inadequate Progress
- Not Implemented

The above plan of correction was approved by AK
(Initials)

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APR 10 2017

WEST VIRGINIA FIELD OFFICE
Human Services Licensing

Knickerbocker Villa
License# 326940

Regulation Cited: 2600.253(c) – The home shall keep a log of resident records destroyed on or after October 24, 2005. This log must include the resident's name, record number, birth date, admission date and discharge date.

Plan of Correction: Immediately, residents date of birth and admission dates were added to the homes log of destroyed records. Attached is the form. Documentation will be kept.

Person responsible for preventing future violations: Administrator

Date Corrected: April 4, 2017

Roanne Selby 4/7/17
Administrator/Date

RU 4/4/17

Knickerbocker Villa
License#326940