



pennsylvania
DEPARTMENT OF HUMAN SERVICES

**CERTIFIED MAIL – RETURN RECEIPT
MAILING DATE:**

Ms. Roxanne Sallurday, Executive Director
Knickerbocker Acquisition, LLC
P.O. Box 761
Hummelstown, Pennsylvania 17036

AUG 07 2015

RE: Knickerbocker Villa
304 South Second Street
Clearfield, Pennsylvania 16830
License #: 326941

Dear Ms. Sallurday:

On March 23, 2015 the Department of Human Services (Department) received your written request to operate a secured dementia care unit at the above licensed personal care home. Based on the Department's review of your request to operate a secured dementia care unit, and pursuant to 62 P.S. § 1007, the Department is DENYING a license to operate a secured dementia care unit at the above personal care home. The Department is not satisfied that you are a "responsible person" under 62 P.S. § 1007 based on the history of violations with 55 Pa.Code Ch. 2600 (relating to personal care homes) as evidenced by the Department's issuance of a first provisional license and administrative fines to Knickerbocker Villa, located at 304 South Second Street, Clearfield, Pennsylvania 16830 on August 5, 2015.

In accordance with 62 P.S. § 1002, "no person shall maintain, operate or conduct any facility, as defined herein, without having a license therefore issued by the department." Therefore, you may not operate a secured dementia care unit in the personal care home at the above address.

If you disagree with the Department's decision to deny your request to operate a secured dementia care unit, you have the right to appeal through hearing before the Bureau of Hearings and Appeals, Department of Human Services in accordance with 1 Pa.Code Part II, Chs. 31-35. If you decide to appeal, a written request for an appeal must be received within 10 days of the date of this letter by:

Kevin Brumbach, Fines Manager
Bureau of Human Services Licensing
Department of Human Services
Room 631, Health and Welfare Building
625 Forster Street
Harrisburg, Pennsylvania 17120

Ms. Roxanne Sallurday

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This decision is final 11 days from the date of this letter, or if you decide to appeal, upon issuance of a decision by the Bureau of Hearings and Appeals. You are reminded that compliance with the regulation is required until the appeal is heard.

Sincerely,

A handwritten signature in black ink, appearing to read 'Matthew Jones', with a long horizontal flourish extending to the right.

Matthew Jones
Director

Enclosure

RECEIVED

FEB 23 2015

Human Services Licensing

February 20, 2015

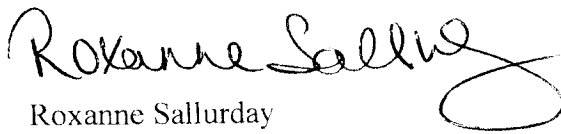
Bureau of Human Services Licensing

To whom it may concern.

Knickerbocker Villa, Personal Care is requesting the opportunity to offer a Secured Dementia Care Unit. Knickerbocker Villa is licensed for a capacity of 70, and will transition an existing 10 rooms of the facility for memory care. This section of the building has a common area, Dining area, and an outside courtyard.

Thank you in advance for your time and consideration. I will be forwarding the required documentation for your review. Please contact me with any questions.

Sincerely,



Roxanne Sallurday
Executive Director



pennsylvania
DEPARTMENT OF HUMAN SERVICES

CERTIFIED MAIL – RETURN RECEIPT REQUESTED

MAILING DATE: AUG 05 2015

Ms. Amy Speece, Partner
Knickerbocker Acquisition, LLC
P.O. Box 761
Hummelstown, Pennsylvania 17036

RE: Knickerbocker Villa
304 South Second Street
Clearfield, Pennsylvania 16830
License #: 326941

Dear Ms. Speece:

As a result of the Department of Human Services' (Department) licensing inspections on April 1, 2015 and April 2, 2015 of the above facility, the violations specified on the enclosed Licensing Inspection Summary were found.

Based on violations with 55 Pa.Code Ch. 2600 (relating to Personal Care Homes), your current license #326940 dated September 18, 2014 to September 18, 2015 is REVOKED. Additionally, your license dated September 18, 2015 to September 18, 2016 is REVOKED. A FIRST PROVISIONAL license is being issued based on your plan to correct the violations as specified on the Licensing Inspection Summary. This FIRST PROVISIONAL license replaces all previously issued licenses and is effective for six months from the date of issuance. The license dated September 18, 2015 to September 18, 2016 is NOT reinstated upon expiration of this FIRST PROVISIONAL license. This decision is made pursuant to 62 P.S. 1026(b)(1) and 55 Pa.Code § 20.71(a)(2) (relating to conditions for denial, nonrenewal or revocation.) Your FIRST PROVISIONAL license is enclosed.

All violations specified on the Licensing Inspection Summary must be corrected by the dates specified on the Licensing Inspection Summary and continued compliance with 55 Pa.Code Ch. 2600 must be maintained.

Pursuant to 62 P.S. 1085-1087 and 55 Pa.Code §§ 2600.261-268 (relating to enforcement), the Department intends to assess a fine for the following violations unless fully corrected on or before the mandated correction date.

55 Pa.Code Chapter 2600 Section no.	Class of Violation	Census at Inspection X	Fine Per resident Per day	Calculated Fine = Per day	Mandated Correction Date (to avoid Fine)
228h	III	54	\$3	\$162	15 calendar days from mailing date of this letter

A fine will be assessed on a daily basis beginning with the date of this letter and will continue until the violation is fully corrected, and full compliance with the regulation has been achieved. If the violation is fully corrected, and full compliance with the regulation has been achieved, by the mandated correction date, no fine will be assessed. You must notify the Department's Regional Human Services Licensing office in writing as soon as each violation is fully corrected and submit written documentation of each correction. The Department will conduct an on-site inspection after the mandated correction date, and within 20 calendar days of the date of this letter. If one or more violations is not fully corrected and full compliance with the regulation has not been achieved, you will periodically receive invoices from the Department's Bureau Human Services Licensing with payment instructions. The fines will continue to accumulate until the violation is fully corrected and full compliance with the regulation has been achieved.

No fine is being assessed at this time; therefore, you may not appeal any fine at this time. If a violation is not corrected and full compliance with the regulation has not been achieved by the mandated correction date, a fine will be assessed and an invoice will be mailed. This invoice will contain the right to appeal the fine.

If you disagree with the decision to issue a PROVISIONAL license, you have the right to appeal through hearing before the Bureau of Hearings and Appeals, Department of Human Services in accordance with 1 Pa.Code Part II, Chs. 31-35. If you decide to appeal your PROVISIONAL license, a written request for an appeal must be received within 10 days of the date of this letter by:

Jacob Herzing, Enforcement Manager
 Human Services Licensing
 Department of Human Services
 Room 631 Health and Welfare Building
 625 Forster Street
 Harrisburg, Pennsylvania 17120

Ms. Amy Speece

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This decision is final 11 days from the date of this letter, or if you decide to appeal, upon issuance of a decision by the Bureau of Hearings and Appeals.

Sincerely,

A handwritten signature in black ink, appearing to read "Matthew J. Jones", with a long horizontal flourish extending to the right.

Matthew J. Jones
Director

Enclosures
License
Licensing Inspection Summary



pennsylvania
DEPARTMENT OF HUMAN SERVICES

CERTIFICATE OF COMPLIANCE

This certificate is hereby granted to KNICKERBOCKER ACQUISITION, LLC
LEGAL ENTITY

To operate KNICKERBOCKER VILLA
NAME OF FACILITY OR AGENCY

Located at 304 SOUTH SECOND STREET, CLEARFIELD, PA 16830
(COMPLETE ADDRESS OF FACILITY OR AGENCY)

ADDRESS OF SATELLITE SITE _____ ADDRESS OF SATELLITE SITE

ADDRESS OF SATELLITE SITE _____ ADDRESS OF SATELLITE SITE

ADDRESS OF SATELLITE SITE _____ ADDRESS OF SATELLITE SITE

To provide residential care services to be provided

The total number of persons who may be cared for at one time may not exceed _____
or the maximum capacity of the facility. Certificate of Compliance number is _____

PROVISIONAL

Restrictions: _____

This certificate is granted in accordance with the Public Welfare Code of 1967, P.L. 31, as amended, and Regulations

55 Pa. Code Chapter 2600: Personal Care Homes
(MANUAL NUMBER AND TITLE OF REGULATIONS)

and shall remain in effect from August 5, 2015 until February 5, 2016
unless sooner revoked for non-compliance with applicable laws and regulations.

No: **326941**

Robert E. Robinson
ISSUING OFFICER

Matthew J. [Signature]
DIRECTOR

NOTE: This certificate is issued for the above site(s) only and is not transferable and should be posted in a conspicuous place in the facility.