

COMMONWEALTH OF PENNSYLVANIA
DEPARTMENT OF PUBLIC WELFARE

CERTIFICATE OF COMPLIANCE

This Certificate is hereby granted to RIVERSTONE MANOR LLC

LEGAL ENTITY

To operate RIVERSTONE MANOR

NAME OF FACILITY OR AGENCY

Located at ONE MAIN STREET, WALNUTPORT, PA 18088

(COMPLETE ADDRESS OF FACILITY OR AGENCY)

ADDRESS OF SATELLITE SITE

ADDRESS OF SATELLITE SITE

ADDRESS OF SATELLITE SITE

ADDRESS OF SATELLITE SITE

ADDRESS OF SATELLITE SITE

ADDRESS OF SATELLITE SITE

To provide Personal Care Homes

TYPE OF SERVICE(S) TO BE PROVIDED

The total number of persons which may be cared for at one time may not exceed 72
or the maximum capacity permitted by the Certificate of Occupancy, whichever is smaller.

(MAXIMUM CAPACITY)

Restrictions: _____

This certificate is granted in accordance with the Public Welfare Code of 1967, P.L. 31, as amended, and Regulations

55 Pa.Code Chapter 2600: Personal Care Homes

(MANUAL NUMBER AND TITLE OF REGULATIONS)

and shall remain in effect from June 22, 2012 until December 22, 2012,
unless sooner revoked for non-compliance with applicable laws and regulations.

No: 223941

Robert E. Robinson

ISSUING OFFICER

R. C. King

DIRECTOR

NOTE: This certificate is issued for the above site(s) only and is not transferable
and should be posted in a conspicuous place in the facility.

PW 628 - 01/11



COMMONWEALTH OF PENNSYLVANIA
DEPARTMENT OF PUBLIC WELFARE
PO BOX 2675
HARRISBURG, PENNSYLVANIA 17105-2675

ADULT RESIDENTIAL LICENSING

JUN 25 2012

PHONE: (717) 783-3670
FAX: (717) 783-5662

Ms. Ruth Sillers, Corporate Officer
Riverstone Manor, LLC
P.O. Box 333
Walnutport, Pennsylvania 18088

RE: Riverstone Manor
One Main Street
Walnutport, Pennsylvania 18088

Dear Ms. Sillers:

As a result of the Department of Public Welfare's licensing inspection on May 21, 2012 of the above personal care home, we have found that your personal care home is in substantial compliance with the regulations, set forth in 55 Pa.Code Ch. 2600 (related to Personal Care Homes), that can be adequately assessed at this time. The licensing inspector was unable to complete a full inspection because this is a new legal entity operating the home.

During the inspection, violations on the enclosed Violation Report were found. All violations specified on the Violation Report must be corrected by the dates specified on the Violation Report and continued compliance with 55 Pa.Code Ch. 2600 must be maintained. As soon as each violation is corrected, notify the Department's Regional Office of Adult Residential Licensing so that compliance can be verified.

In accordance with 55 Pa.Code § 2600.11(b) (relating to procedural requirements for licensure or approval of personal care homes) a re-inspection of your newly licensed personal care home will be conducted within 3 months of the effective date of this license. Complete compliance with all applicable regulations is required in order to maintain your license.

Your PROVISIONAL license is enclosed, based on substantial but not complete compliance with 55 Pa.Code Ch. 2600.


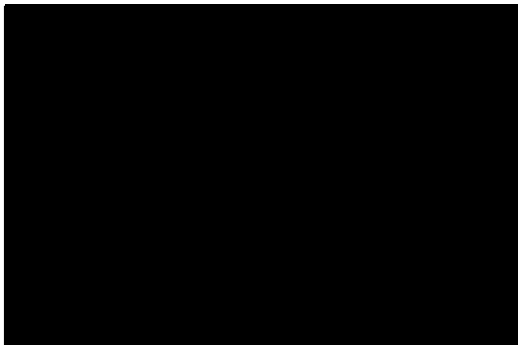
Sincerely,

A handwritten signature in cursive script that reads 'Ronald Melusky' followed by a stylized flourish.

Ronald Melusky
Director

Enclosures
License
Violation Report

**VIOLATION REPORT
PERSONAL CARE HOMES - 55 Pa.Code Chapter 2600**

PCH Name: Riverstone Manor		License Number:
Address: One Main Street, Walnutport, PA 18088		County: Northampton
Administrator: Adam Herman		Region: NORTH
Legal Entity Name: Riverstone Manor, LLC		
Legal Entity Address: P.O. Box 333, Walnutport, PA 18088		
Certificate(s) of Occupancy		
Other 03/15/2012 Walnutport Borough	Other 05/02/2010 Walnutport Borough	Other 12/13/2010 Walnutport Borough
Staffing Hours		
Resident Support: 0	Total Daily Staff: 0	Waking Staff: 0
Type of Inspection: Initial	BHA Docket Number: n/a	Notice: Announced
Reason(s) for Inspection(s) New		
On-Site Inspections Dates and Department Representatives On-Site 05/21/2012: Bablarz, Florence; O'Haire, Anne		
Off-Site Inspection Dates and Inspectors, if Applicable		
Other Details		
Partial or Full Triggers: n/a		Random Indicators: n/a
Resident Demographic Data as of Inspection Dates		
Licensed Capacity: 72 Number of Residents Served: 0 Secured Dementia Care Unit in Home: No Area: Secured Dementia Unit Capacity, if Applicable: 	Number of Residents who: 	

Violation Report: 22384 - 06/21/2012 - Babiarz, Florence

1. REGULATION 55 Pa.Code §2600

2600.25(p)(13) - The contract shall include written information on the resident's rights and complaint procedures as specified in § 2600.41 (relating to notification of resident rights and complaint procedures).

2. DESCRIPTION OF VIOLATION

The home's House Rules located in the Contract indicate that residents shall keep their rooms clean and tidy. Residents shall make their bed before leaving their room. Residents shall empty their trash bins. Residents may not be required to perform work at the home.

The home's House Rules indicate that residents must be in bed by 11 pm. Televisions, radios, and lights turned off, especially in a shared room. The home cannot restrict residents to go to bed by 11pm.

3. PLAN OF CORRECTION (POC) (Attach pages as necessary. Remember that you must sign and date any attached pages.)

Include steps to correct the violation described above and steps to prevent a similar violation from occurring again. If steps cannot be completed immediately, include dates by which the steps will be completed.

Plan of Correction for Riverstone Manor

Violation:

Pa. Code 2600.25, 2600.41 (Resident Rights)

Root cause of these violations is the wording of the house rules/contract. Residents will keep their room as tidy as possible with the help of our housekeeping staff. Residents will not be required to do work at the home. This duty is listed in the job description of the housekeeping/universal worker. To prevent this violation from repeating, the wording in the house rules/contract has been changed accordingly by the Administrator. We can ensure staff will be educated upon orientation of this rule. This will be monitored by the Administrator and all supervisory staff.

In regards to "bed time". The home will suggest a quiet time beginning at 2200. No resident will have a mandatory bed time. To prevent this violation from repeating, the wording in the house rules/contract has been changed accordingly by the Administrator. We can ensure staff will be educated upon orientation of this rule. This will be monitored by the Administrator and all supervisory staff.

Repeat Violation: No

Date(s) of Previous Violation(s):

Signature of Legal Entity Representative (Required on EVERY Page)

Adam Herman

Printed Name and Title of Legal Entity Representative (Required on EVERY Page)

Adam Herman Administrator

Date 6/15/12

DEPARTMENT USE ONLY - HOMES MAY NOT WRITE BELOW THIS LINE!

The above plan of correction is approved as of

6/21/12 (Date)

Verification of Legal Entity Representative Signature

6/21/12 (Date)

The above plan of correction was approved by

mm (Initials)

- Fully Implemented
- Partially Implemented - Adequate Progress
- Partially Implemented - Inadequate Progress
- Not Implemented

Violation Report: 22364 - 05/21/2012 - Bablarz, Florence

1. REGULATION 55 Pa.Code §2600

2600.42(p) - A resident shall be free from restraints.

2. DESCRIPTION OF VIOLATION

The home's Policies/Procedures indicated the use of manual restraint when addressing a combative resident.

3. PLAN OF CORRECTION (POC) (Attach pages as necessary. Remember that you must sign and date any attached pages.)
Include steps to correct the violation described above and steps to prevent a similar violation from occurring again. If steps cannot be completed immediately, include dates by which the steps will be completed.

Violation:

Pa. Code 2600.42 (Manual Restraints)

The root cause of this violation is the wording of the policy/procedure. The policy has edited to read that while addressing a combative de-escalation techniques will be used until the proper authorities arrive. "Bear hugs" will not be a method practiced at the home to ensure a residents safety. It is a violation and unsafe for the worker. If the de-escalation methods have not worked the worker shall remove themselves from the situation and ensure the safety of the other residents and staff. To prevent this violation from repeating, the wording in the house rules/contract has been changed accordingly by the Administrator. We can ensure staff will be educated upon orientation of this rule. This will be monitored by the Administrator and all supervisory staff.

Repeat Violation: No	Date(s) of Previous Violation(s):		
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Signature of Legal Entity Representative
(Required on EVERY Page) *Adam Herman*

Printed Name and Title of Legal Entity Representative
(Required on EVERY Page) *Adam Herman Administrator* Date *6/15/12*

DEPARTMENT USE ONLY - HOMES MAY NOT WRITE BELOW THIS LINE!

The above plan of correction is approved as of 6/21/12
(Date)

Verification of Legal Entity Representative Signature 6/21/12
(Date)

The above plan of correction was approved by

[Signature]
(Initials)

- Fully Implemented
- Partially Implemented - Adequate Progress
- Partially Implemented - Inadequate Progress
- Not Implemented

Violation Report: 22394 - 05/21/2012 - Babiarz, Florence

1. REGULATION 65 Pa.Code §2600

2600.99(b) - Hot water temperature in areas accessible to the resident may not exceed 120°F.

2. DESCRIPTION OF VIOLATION

The hot water temperature measured 124.3 degrees in the second floor bathroom near the fire exit in the Main building.

The hot water temperature measured 124.7 degrees in the second floor bathroom across room #7 in the Main building.

3. PLAN OF CORRECTION (POC) (Attach pages as necessary. Remember that you must sign and date any attached pages.)
Include steps to correct the violation described above and steps to prevent a similar violation from occurring again. If steps cannot be completed immediately, include dates by which the steps will be completed.

Violation:

Pa. Code 2600.99(b) (hot water temperatures)

The root cause of this violation is the setting at which the hot water heaters were set at the time of inspection. The immediate action was to turn the hot water heater setting down to a lower temperature. A water thermometer has been purchased and for two weeks daily temperatures have been recorded onto a temperature log. The log is checked on a daily basis by the administrator. Now that the temperatures of the hot water have not exceeded 120 Degrees Fahrenheit the log shall be completed by the home supervisor on a weekly basis of all sinks and showers found in the home and these results will be reported to the administrator so as to never have this violation repeated.

Repeat Violation: No

Date(s) of Previous Violation(s):

Signature of Legal Entity Representative
(Required on EVERY Page)

Adam Herman

Printed Name and Title of Legal Entity Representative
(Required on EVERY Page)

Adam Herman Administrator

Date 6/15/12

DEPARTMENT USE ONLY - HOMES MAY NOT WRITE BELOW THIS LINE!

The above plan of correction is approved as of.

6/21/12
(Date)

Verification of Legal Entity Representative Signature

Adam Herman
(Date)

- Fully Implemented
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- Partially Implemented - Inadequate Progress
- Not Implemented

The above plan of correction was approved by

[Signature]
(Initials)

Violation Report: 22394 - 05/21/2012 - Bablarz, Florence

1. REGULATION 56 Pa.Code §2600

2600.91 - Telephone numbers for the nearest hospital, police department, fire department, ambulance, poison control, local emergency management and personal care home complaint hotline shall be posted on or by each telephone with an outside line.

2. DESCRIPTION OF VIOLATION

The telephone numbers for the nearest hospital, poison control, and the personal care home complaint hotline was not posted on or near each of the phones.

3. PLAN OF CORRECTION (POC) (Attach pages as necessary. Remember that you must sign and date any attached pages.)
Include steps to correct the violation described above and steps to prevent a similar violation from occurring again. If steps cannot be completed immediately, include dates by which the steps will be completed.

Violation:

Pa. Code 2600.91 (Emergency Phone Numbers)

The root cause of the violation was the lack of visibility of the phone numbers for the nearest hospital, poison control, and the personal care home complaint hotline at or near the resident telephones. An immediate action of posting these numbers has been executed. Visual inspections of these numbers will be completed by supervisory staff and the administrator on a daily basis. If these posters are ever destroyed or damaged, an immediate replacement shall be posted at that information board. Copies of these numbers posters will be kept in the administrative offices for easy access and prompt replacement if need be.

Repeat Violation: No Date(s) of Previous Violation(s):

Signature of Legal Entity Representative
(Required on EVERY Page) *Adam Herman*

Printed Name and Title of Legal Entity Representative
(Required on EVERY Page) *Adam Herman Administrator* Date *6/15/12*

DEPARTMENT USE ONLY - HOMES MAY NOT WRITE BELOW THIS LINE!

The above plan of correction is approved as of 6/21/12
(Date)

Verification of Legal Entity Representative Signature 6/21/12
(Date)

The above plan of correction was approved by *m*
(Initials)

- Fully Implemented
- Partially Implemented - Adequate Progress
- Partially Implemented - Inadequate Progress
- Not Implemented

Violation Report: 22384 - 06/21/2012 - Babiarz, Florence

1. REGULATION 85 Pa.Code §2600

2600.141(a)(2) - The medical evaluation must include the following: (1) through (10)

2. DESCRIPTION OF VIOLATION

The home's House Rules indicate that residents who go home for the weekend, go on vacation, attend day centers, or any other prolonged absences shall take their medications with them. The home may only give medications to residents only if the resident self-administers. If the resident cannot self-administer, the home must give the medication to the resident's family member/designee or make other arrangements to have medication prepackaged by a Pharmacy.

3. PLAN OF CORRECTION (POC) (Attach pages as necessary. Remember that you must sign and date any attached pages.)

Include steps to correct the violation described above and steps to prevent a similar violation from occurring again. If steps cannot be completed immediately, include dates by which the steps will be completed.

Violation:

Pa. Code 2600.141(a)(2) (Medical Evaluations)

The root cause of this violation was the wording of the House Rules. The wording has since then changed by the administrator to read that residents who self-administer their own medications by doctors' order may bring their medications along with them. If the resident is unable to self-administer medications, the family member/day care center/care provider will be educated as to the time, route, dose, drug and identity of the resident. The family member/day care center/care provider will also be informed of the resident's right to refuse a medication especially if they feel that it is in error. If there are any questions they may also call the home and or the pharmacy from which it was prescribed. All of this information shall be made available to them upon pick up of the resident.

* The administrator/Designee will monitor (daily) for ongoing compliance.

Mm
6/21/12

Repeat Violation: No

Date(s) of Previous Violation(s):

Signature of Legal Entity Representative
(Required on EVERY Page)

Adam Herman

Printed Name and Title of Legal Entity Representative
(Required on EVERY Page)

Adam Herman Administrator

Date

6/15/12

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6/21/12
(Date)

Verification of Legal Entity Representative Signature

6/21/12
(Date)

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- Not Implemented

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Mm
(Initials)

Violation Report: 22394 - 06/21/2012 - Bablarz, Florence

1. REGULATION 55 Pa.Code §2600

2600.191 - The home shall educate the resident the right to question or refuse a medication if the resident believes there may be a medication error. Documentation of this resident education shall be kept.

2. DESCRIPTION OF VIOLATION

The home did not have stated within the Contract or Home Rules the resident's right to question or refuse a medication if he/she believes there may be a medication error.

3. PLAN OF CORRECTION (POC) (Attach pages as necessary. Remember that you must sign and date any attached pages.)
Include steps to correct the violation described above and steps to prevent a similar violation from occurring again. If steps cannot be completed immediately, include dates by which the steps will be completed.

Violation:

Pa. Code 2600.191

The root cause of this violation was the absence of written notification in the House Rules/Contract that a resident has the right to question or to refuse a medication if they believe that there may be a medication error. The House Rules/Contract has been edited by the Administrator. The House Rules/Contract now clearly state that the resident has this right. This right shall be made clear upon admission of the resident into the home and during the prescreening process. Staff will also be educated of this right during orientation to ensure that this resident is upheld and followed.

* The administrator will monitor to assure ongoing compliance

mm
6/21/12

Repeat Violation: No

Date(s) of Previous Violation(s):

Signature of Legal Entity Representative
(Required on EVERY Page)

Adam Herman

Printed Name and Title of Legal Entity Representative
(Required on EVERY Page)

Adam Herman Administrator

Date 6/15/12

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6/21/12
(Date)

Verification of Legal Entity Representative Signature

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6/21/12
(Date)

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(Initials)

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