

COMMONWEALTH OF PENNSYLVANIA
DEPARTMENT OF PUBLIC WELFARE

CERTIFICATE OF COMPLIANCE

This Certificate is hereby granted to SAINT MARY'S HOME OF ERIE

LEGAL ENTITY

To operate SAINT MARY'S AT ASBURY RIDGE

NAME OF FACILITY OR AGENCY

Located at 4855 WEST RIDGE ROAD, ERIE, PA 16506

(COMPLETE ADDRESS OF FACILITY OR AGENCY)

ADDRESS OF SATELLITE SITE

ADDRESS OF SATELLITE SITE

ADDRESS OF SATELLITE SITE

ADDRESS OF SATELLITE SITE

ADDRESS OF SATELLITE SITE

ADDRESS OF SATELLITE SITE

To provide Personal Care Homes

TYPE OF SERVICE(S) TO BE PROVIDED

The total number of persons which may be cared for at one time may not exceed 164
or the maximum capacity permitted by the Certificate of Occupancy, whichever is smaller.

(MAXIMUM CAPACITY)

Restrictions: Secure Dementia Care Unit - 55 Pa.Code §§ 2600.231-239 - Capacity 16

This certificate is granted in accordance with the Public Welfare Code of 1967, P.L. 31, as amended, and Regulations

55 Pa.Code Chapter 2600: Personal Care Homes

(MANUAL NUMBER AND TITLE OF REGULATIONS)

and shall remain in effect from October 27, 2011 until October 27, 2012,
unless sooner revoked for non-compliance with applicable laws and regulations.

No: 413420

Robert E. Robinson

ISSUING OFFICER

R. C. King

DIRECTOR

NOTE: This certificate is issued for the above site(s) only and is not transferable
and should be posted in a conspicuous place in the facility.

PW 628 - 01/11



COMMONWEALTH OF PENNSYLVANIA
DEPARTMENT OF PUBLIC WELFARE
PO BOX 2675
HARRISBURG, PENNSYLVANIA 17105-2675

ADULT RESIDENTIAL LICENSING

PHONE: (717) 783-3670
FAX: (717) 783-5662

OCT 07 2011

Sister Phyllis McCracken, CEO/President
Saint Mary's Home of Erie
Saint Mary's at Asbury Ridge
4855 West Ridge Road
Erie, Pennsylvania 16506

Dear Sister McCracken:

As a result of the Department of Public Welfare's licensing inspection on September 16, 2011, and the corrections you have made after our inspection, we have found the above personal care home to be in compliance with 55 Pa.Code Ch. 2600 (relating to Personal Care Homes). Therefore, a regular license is being issued. Your license is enclosed.

Sincerely,

A handwritten signature in black ink, appearing to read 'Ronald Melusky', with a long horizontal line extending to the right.

Ronald Melusky
Director

Enclosure
License

VIOLATION REPORT
PERSONAL CARE HOMES - 55 Pa.Code Chapter 2600

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|---|------------------|---|-----------------|
| NAME AND ADDRESS OF PERSONAL CARE HOME SAINT MARY S AT ASBURY RIDGE, 4855 WEST RIDGE ROAD ERIE, PA 16506 | | CURRENT LICENSE NUMBER 413420 | |
| INSPECTION DATES (Include all dates of the inspection) 09/16/2011 | | REGIONAL REPRESENTATIVE M. Orme, D. Ropon, B. McAfee | |
| PRINTED NAME AND TITLE OF LEGAL ENTITY REPRESENTATIVE SIGNING PLAN OF CORRECTION (Required on FIRST PAGE only unless multiple representatives produce the plan) <i>Sister Phyllis McCracken, President/CEO</i> | | | |
| SIGNATURE OF LEGAL ENTITY <i>Sister Phyllis McCracken</i> | DATE 09/27/11 | REGIONAL LICENSING APPROVAL OF PLAN OF CORRECTION <i>[Signature]</i> | DATE 9-29-11 |

| REGULATION 55 Pa.Code §2600 | VIOLATION | DATE COMPLIANCE VERIFIED BY | PLAN OF CORRECTION (include a step-by-step plan to correct the specific violation, as well as a plan to assure the violation does not recur) | DATE COMPLIANCE VERIFIED BY |
|---|---|-----------------------------|---|-----------------------------|
| 185a The home shall develop and implement procedures for the safe storage, access, security, distribution and use of medications and medical equipment by trained staff persons. | Resident #1 is prescribed Tessalon cap 100mg, 1 cap by mouth 3 times per day as needed. The medication was not available in the home for administration. Western Region SEP 28 2011 Adult Residential Licensing | 09/21/2011 | <ol style="list-style-type: none"> The medication was being reordered at the time of survey. The prescription was without refills (last fill 02/22/2010) and it has not been administered in the past 6 months. [redacted] was in the process of having [redacted] medications changed from the VA to a private retail pharmacy for a cost savings. The physician was notified and pharmacy was waiting for a new prescription. The medication is now available. The RNs & LPNs begin the reorder process 2-3 weeks before the prescription is due to expire. The 11-7 RN monitors to ensure all medications are available on a nightly basis. Emergency dose refills are obtained from a contracted local retail pharmacy. Missing medications will be reported to the Director of Personal Care and this will be reported to QA on a quarterly basis. The Director of Nursing will oversee this. | 9-29-11 ✓ |

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| 187a A medication record shall be kept to include the following for each resident for whom medications are administered: (1) Resident's name. (2) Drug allergies. (3) Name of medication. (4) Strength. (5) Dosage form. (6) Dose. (7) Route of administration. (8) Frequency of administration. (9) Administration times. (10) Duration of therapy, if applicable. (11) Special | The medication administration record for resident #2 does not include a diagnosis or purpose for Coumadin 2.5mg PO daily or Coumadin 3mg PO daily. Western Region SEP 28 2011 Adult Residential Licensing | 10/14/2011 | 1. The Coumadin order was a dosage change only. Previous order was on the Medication Administration Record and did contain the diagnosis for the medication. 2. The RNs & LPNs will complete a self-study educational pack on transcription of orders and medication administration record documentation. 3. Staff nurses will monitor on a daily basis, while administering and documenting medications, that all orders contain the required information. Any missing data will be corrected. 4. The Director of Personal Care will be notified when missing data occurs and this will be reported quarterly to the QA Committee. The Director of Nursing will oversee this. | 9-29-11 |

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| precautions, if applicable. (12) Diagnosis or purpose for the medication, including pro re nata (PRN). (13) Date and time of medication administration. (14) Name and initials of the staff person administering the medication. | Western Region SEP 28 2011 Adult Residential Licensing | | | |

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| 187b The information in subsections 187a13 and 187a14 shall be recorded at the time the medication is administered. | On September 5, 2011 at 6:00 a.m., resident #3 was administered 2 units of Novolin R SQ; however the time of the administration and staff initials were not recorded on the medication administration record. Western Region SEP 28 2011 Adult Residential Licensing | 10/14/2011 | 1. Staff member A added a late entry to the medication administration record to complete the documentation and received counseling for missed documentation. 2. The RNs & LPNs will complete a self-study educational pack on transcription of orders and medication administration record documentation. <i>3. A designated staff person qualified to administer medications will monitor all MAR's daily for accuracy and completion, including medication administration</i> <i>9-29-11</i> | <i>9-29-11</i> |

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| 226a The resident shall be assessed for mobility needs as part of the resident's assessment. | The 6/6/11 medical evaluation for resident #4 indicates the resident has mobility needs for emergency evacuation; however, the resident's assessment, dated 6/28/11, indicates the resident is mobile. <p align="center">Western Region</p> <p align="center">SEP 29 2011</p> | 09/30/2011 | <ol style="list-style-type: none"> The resident has partial expressive aphasia and has no cognitive defects. [redacted] is able to express [redacted] needs when [redacted] is not nervous. An updated medical evaluation has been obtained from [redacted] physician which now coincides with the resident assessment. The Director of Personal Care will monitor for change of resident status and request updated medical evaluations from the attending physician when this occurs. Resident assessments will be revised to reflect updated medical evaluations. The Director of Personal Care will report this to the QA committee on a quarterly basis. The Director of Nursing will oversee this process. | 9-29-11 |