

COMMONWEALTH OF PENNSYLVANIA
DEPARTMENT OF PUBLIC WELFARE

CERTIFICATE OF COMPLIANCE

This Certificate is hereby granted to STAIRWAYS BEHAVIORAL HEALTH, INC.

LEGAL ENTITY

To operate STAIRWAYS

NAME OF FACILITY OR AGENCY

Located at 810 WALNUT STREET, ERIE, PA 16502

(COMPLETE ADDRESS OF FACILITY OR AGENCY)

ADDRESS OF SATELLITE SITE

ADDRESS OF SATELLITE SITE

ADDRESS OF SATELLITE SITE

ADDRESS OF SATELLITE SITE

ADDRESS OF SATELLITE SITE

ADDRESS OF SATELLITE SITE

To provide Personal Care Homes

TYPE OF SERVICE(S) TO BE PROVIDED

The total number of persons which may be cared for at one time may not exceed 45
or the maximum capacity permitted by the Certificate of Occupancy, whichever is smaller.

(MAXIMUM CAPACITY)

Restrictions: _____

This certificate is granted in accordance with the Public Welfare Code of 1967, P.L. 31, as amended, and Regulations

55 Pa.Code Chapter 2600: Personal Care Homes

(MANUAL NUMBER AND TITLE OF REGULATIONS)

and shall remain in effect from June 7, 2010 until June 7, 2011,
unless sooner revoked for non-compliance with applicable laws and regulations.

No: **407590**

Robert E. Robinson

ISSUING OFFICER

Kim T. Casey

DEPUTY SECRETARY

NOTE: This certificate is issued for the above site(s) only and is not transferable
and should be posted in a conspicuous place in the facility.

PW 628 - 4/02



COMMONWEALTH OF PENNSYLVANIA
DEPARTMENT OF PUBLIC WELFARE
PO BOX 2675
HARRISBURG, PENNSYLVANIA 17105-2675

ADULT RESIDENTIAL LICENSING

PHONE: (717) 783-3670
FAX: (717) 783-5662

June 9, 2010

Ms. Robin L. Dowling, CCO
Stairways Behavioral Health, Inc.
2185 West Eighth Street
Erie, Pennsylvania 16505

RE: Stairways
810 Walnut Street
Erie, Pennsylvania 16502

Dear Ms. Dowling:

As a result of the Department of Public Welfare's licensing inspection on March 12, 2010 of the above personal care home, the violations with 55 Pa.Code Ch. 2600 (relating to Personal Care Homes) specified on the enclosed Violation Report were found.

All violations specified on the enclosed Violation Report must be corrected by the dates specified on the Violation Report and continued compliance with 55 Pa.Code Ch. 2600 must be maintained. As soon as each violation is corrected, notify the Department's Regional Office of Adult Residential Licensing so that compliance can be verified.

A regular license is being issued based on the enclosed Violation Report. Your license is enclosed.

Sincerely,

A handwritten signature in cursive script that reads "Kevin T. Casey".

Kevin T. Casey
Deputy Secretary

Enclosures
License
Violation Report

VIOLATION REPORT
PERSONAL CARE HOMES – 55 Pa.Code Chapter 2600

Western Region

MAY 19 2010 Page 1 of 10

NAME AND ADDRESS OF PERSONAL CARE HOME Stairways, 810 Walnut Street, Erie PA 16502		CURRENT LICENSE NUMBER Adult Residential Licensing 407590	
INSPECTION DATE(S) (Include all dates of the inspection) March 12, 2010		REGIONAL REPRESENTATIVE K Kruppa, A Linhart, T Newman	
SIGNATURE OF LEGAL ENTITY <i>Robert A. Dowley, Chief Compliance Officer</i>	DATE 5-17-10	REGIONAL LICENSING APPROVAL OF PLAN OF CORRECTION <i>[Signature]</i>	DATE 5-25-10

1 REGULATION 55 Pa.Code § 2600.	2 VIOLATION	3 DATE BY WHICH CORRECTION WILL BE COMPLETED	4 PLAN OF CORRECTION (include a step-by-step plan to correct the specific violation, as well as a plan to assure the violation does not recur)	5 DATE COMPLIANCE VERIFIED BY DPW
<p>22a1 The following admission document shall be completed for each resident - Preadmission screening completed prior to admission on a form specified by the Department.</p> <p>224a A determination shall be made within 30 days prior to admission and documented on the Department's preadmission screening form that the needs of the resident can be met by the</p>	<p>Pre-admission screenings for resident 1, admitted 1/29/2010, resident 2, admitted 2/1/2010, or resident 3, admitted 2/11/2010 were not completed.</p>	<p>5/7/10</p> <p>5/7/10</p> <p>5/7/10</p>	<p>A. Pre-admission screenings have been completed for identified residents</p> <p>B. Program Coordinator has been selected + trained to ensure all paperwork is completed</p> <p>C. Program manager will audit all new admission paperwork to ensure it is completed per regulation expectations</p>	<p>Steps have been taken to correct violation; full compliance is not verifiable</p> <p>5-25-10 <i>[Signature]</i></p> <p>Date Initials (DPW)</p>

VIOLATION REPORT
PERSONAL CARE HOMES – 55 Pa.Code Chapter 2600

MAY 19 2010 Page 2 of 10

NAME AND ADDRESS OF PERSONAL CARE HOME Stairways, 810 Walnut Street, Erie PA 16502		Adult Residential Licensing	CURRENT LICENSE NUMBER 407590
INSPECTION DATE(S) (Include all dates of the inspection) March 12, 2010		REGIONAL REPRESENTATIVE K Kruppa, A Linhart, T Newman	
SIGNATURE OF LEGAL ENTITY <i>Roth & Day</i>	DATE 5-17-10	REGIONAL LICENSING APPROVAL OF PLAN OF CORRECTION	DATE 5-25-10

1 REGULATION 55 Pa.Code § 2600.	2 VIOLATION	3 DATE BY WHICH CORRECTION WILL BE COMPLETED	4 PLAN OF CORRECTION (include a step-by-step plan to correct the specific violation, as well as a plan to assure the violation does not recur)	5 DATE COMPLIANCE VERIFIED BY DPW
services provided by the home.				

VIOLATION REPORT
PERSONAL CARE HOMES – 55 Pa.Code Chapter 2600

NAME AND ADDRESS OF PERSONAL CARE HOME Stairways, 810 Walnut Street, Erie PA 16502		Adult Rec. / Current Licensing	CURRENT LICENSE NUMBER 407590
INSPECTION DATE(S) (Include all dates of the inspection) March 12, 2010		REGIONAL REPRESENTATIVE K Kruppa, A Linhart, T Newman	
SIGNATURE OF LEGAL ENTITY <i>R. W. A. Duff</i>	DATE 5-17-10	REGIONAL LICENSING APPROVAL OF PLAN OF CORRECTION	DATE 5-25-10

1 REGULATION 55 Pa.Code § 2600.	2 VIOLATION	3 DATE BY WHICH CORRECTION WILL BE COMPLETED	4 PLAN OF CORRECTION (include a step-by-step plan to correct the specific violation, as well as a plan to assure the violation does not recur)	5 DATE COMPLIANCE VERIFIED BY DPW
85d Trash in kitchens and bathrooms shall be kept in covered trash receptacles that prevent the penetration of insects and rodents.	There were no lids on the trash cans in the 2 nd floor Activity room bathroom and the staff bathroom in kitchen.	5/21/10	Trash cans will be replaced in Activity room bathroom ? Staff bathroom to ensure all have appropriate lids.	5-25-10 <i>gpc</i>
		5/19/10	Dietary, cleaning crew in direct care staff will be educated on the regulation's purpose of the regulation	
		5/19/10	Designee will complete weekly inspections of facilities to ensure all trash cans are covered	

VIOLATION REPORT
PERSONAL CARE HOMES – 55 Pa.Code Chapter 2600

NAME AND ADDRESS OF PERSONAL CARE HOME Stairways, 810 Walnut Street, Erie PA 16502		CURRENT LICENSE NUMBER Adult Residential Licensing 407590	
INSPECTION DATE(S) (Include all dates of the inspection) March 12, 2010		REGIONAL REPRESENTATIVE K Kruppa, A Linhart, T Newman	
SIGNATURE OF LEGAL ENTITY <i>Row & Dwyer</i>	DATE 5-17-10	REGIONAL LICENSING APPROVAL OF PLAN OF CORRECTION	DATE 5-25-10

1 REGULATION 55 Pa.Code § 2600.	2 VIOLATION	3 DATE BY WHICH CORRECTION WILL BE COMPLETED	4 PLAN OF CORRECTION (include a step-by-step plan to correct the specific violation, as well as a plan to assure the violation does not recur)	5 DATE COMPLIANCE VERIFIED BY DPW
101j7. Each resident shall have the following in the bedroom: An operable lamp or other source of lighting that can be turned on/off at bedside.	There was no operable bedside lamp in room #C31. There was no light bulb in the bedside lamp in room #A7. The light switch was broken on the bedside lamp at bed to the right in room #B18.	5/7/10 5/19/10	RM C31, A7 & B18 all have operable bedside lamps Direct Care Staff, Cleaning crew will all be educated on the regulation & purpose & will check during daily rounds to ensure all residents have an operable bedside lamp	Steps have been taken to correct violation; full compliance is not verifiable 5-25-10 <i>Cl</i> Date Initials (DPW)

5/19/10
 Designee will complete weekly inspections of the facilities to ensure each resident has an operable bedside lamp

VIOLATION REPORT
PERSONAL CARE HOMES – 55 Pa.Code Chapter 2600

MAY 19 2010 Page 5 of 10

NAME AND ADDRESS OF PERSONAL CARE HOME Stairways, 810 Walnut Street, Erie PA 16502		CURRENT LICENSE NUMBER APR 2009 Dental Licensing 407590	
INSPECTION DATE(S) (Include all dates of the inspection) March 12, 2010		REGIONAL REPRESENTATIVE K Kruppa, A Linhart, T Newman	
SIGNATURE OF LEGAL ENTITY <i>Ron D. Day</i>	DATE 5-17-10	REGIONAL LICENSING APPROVAL OF PLAN OF CORRECTION	DATE 5-25-10

1 REGULATION 55 Pa.Code § 2600.	2 VIOLATION	3 DATE BY WHICH CORRECTION WILL BE COMPLETED	4 PLAN OF CORRECTION (include a step-by-step plan to correct the specific violation, as well as a plan to assure the violation does not recur)	5 DATE COMPLIANCE VERIFIED BY DPW
123b Copies of the emergency procedures 107 (relating to emergency preparedness) shall be posted in a conspicuous and public place in the home and a copy shall be kept.	A copy of the emergency preparedness plan was not posted	5/17/10	(A) PCH Administrator Designee will request a copy of the local Emergency Preparedness Plan for Municipality	5-25-10 8
		5/31/10	(B) PCH Administrator Designee will reorganize Stairways PCH Emergency Preparedness Plan to include the municipality plan (C) PCH Designee during monthly inspection of facility will ensure proper posting of Plan	

VIOLATION REPORT
PERSONAL CARE HOMES – 55 Pa.Code Chapter 2600

Western ...

NAME AND ADDRESS OF PERSONAL CARE HOME Stairways, 810 Walnut Street, Erie PA 16502		CURRENT LICENSE NUMBER 407590	
INSPECTION DATE(S) (Include all dates of the inspection) March 12, 2010		REGIONAL REPRESENTATIVE K Kruppa, A Linhart, T Newman	
SIGNATURE OF LEGAL ENTITY <i>Row & Day</i>	DATE 5-17-10	REGIONAL LICENSING APPROVAL OF PLAN OF CORRECTION	DATE 5-25-10

1 REGULATION 55 Pa.Code § 2600.	2 VIOLATION	3 DATE BY WHICH CORRECTION WILL BE COMPLETED	4 PLAN OF CORRECTION (include a step-by-step plan to correct the specific violation, as well as a plan to assure the violation does not recur)	5 DATE COMPLIANCE VERIFIED BY DPW
132e A fire drill shall be held during sleeping hours once every 6 months.	The most recent sleeping hours fire drill noted on the fire drill log was March 10, 2009.	3/23/10	A) Fire Drill was held during sleeping hours (refer to Fire Drill log)	Steps have been taken to correct violation; full compliance is not verifiable 5-25-10 Date Initials (DPW) <i>SL</i>
		3/12/10	B) Reviewed regulation & expectation & contracted fire safety expert	
		5/7/10	C) DCH Administrator / Designee will audit fire drill log monthly to ensure drills are completed as outlined in regulations	

PERSONAL CARE HOMES – 55 Pa.Code Chapter 2600

NAME AND ADDRESS OF PERSONAL CARE HOME Stairways, 810 Walnut Street, Erie PA 16502		CURRENT LICENSE NUMBER Adult Residential Licensing 407590	
INSPECTION DATE(S) (Include all dates of the inspection) March 12, 2010		REGIONAL REPRESENTATIVE K Kruppa, A Linhart, T Newman	
SIGNATURE OF LEGAL ENTITY <i>Ron & Duff</i>	DATE 5-17-10	REGIONAL LICENSING APPROVAL OF PLAN OF CORRECTION	DATE <i>f</i> 5-25-10

1 REGULATION 55 Pa.Code § 2600.	2 VIOLATION	3 DATE BY WHICH CORRECTION WILL BE COMPLETED	4 PLAN OF CORRECTION (include a step-by-step plan to correct the specific violation, as well as a plan to assure the violation does not recur)	5 DATE COMPLIANCE VERIFIED BY DPW
144d Smoking outside of the smoking room is prohibited.	A resident was observed smoking directly outside of the front entrance. There was an ashtray containing cigarette butts at the door. The entranceway is used by residents. Residents must walk through and breathe the second-hand smoke to enter the home.	5/17/10	<p>Ⓐ Residents will be educated on smoking policy during resident meeting & that smoking is prohibited directly outside the entrance</p>	<p>Steps have been taken to correct violation; full compliance is not verifiable 5-25-10 Date Initials (DPW)</p>
		5/19/10	<p>Ⓑ Staff will be educated on smoking policy & regulation 144d</p>	
		5/19/10	<p>Ⓒ Designated staff will be assigned to make rounds to the grounds to ensure residents are following the policy & smoking safe</p>	

VIOLATION REPORT
PERSONAL CARE HOMES – 55 Pa.Code Chapter 2600

MAY 19 2010 Page 10 of 10

NAME AND ADDRESS OF PERSONAL CARE HOME Stairways, 810 Walnut Street, Erie PA 16502		Adult Residential Licensing CURRENT LICENSE NUMBER 407590
INSPECTION DATE(S) (Include all dates of the inspection) March 12, 2010	REGIONAL REPRESENTATIVE K Kruppa, A Linhart, T Newman	
SIGNATURE OF LEGAL ENTITY <i>Rowland Omy</i>	DATE 5-17-10	REGIONAL LICENSING APPROVAL OF PLAN OF CORRECTION DATE 5-25-10

1 REGULATION 55 Pa.Code § 2600.	2 VIOLATION	3 DATE BY WHICH CORRECTION WILL BE COMPLETED	4 PLAN OF CORRECTION (include a step-by-step plan to correct the specific violation, as well as a plan to assure the violation does not recur)	5 DATE COMPLIANCE VERIFIED BY DPW
254b Each home shall develop and implement policy and procedures addressing record accessibility, security, storage, authorized use and release and who is responsible for the records.	The home had no written policy or procedure which details record accessibility, security, storage, authorized use and release and who is responsible for the records	5/21/10 5/21/10	PCH Administrator will work with Compliance Department to draft a policy for record accessibility PCH Administrator will review regulation 254b will PCH staff educate on policy	5-25-10 8

* will provide a draft of policy to licensing office prior to implementation