

CERTIFICATE OF COMPLIANCE

This Certificate is hereby granted to WESTFIELD BEHAVIORAL HEALTH AFFILIATES, INC.

LEGAL ENTITY

To operate WESTFIELD

NAME OF FACILITY OR AGENCY

Located at 5826 OLD PULASKI ROAD, NEW WILMINGTON, PA 16142

(COMPLETE ADDRESS OF FACILITY OR AGENCY)

ADDRESS OF SATELLITE SITE _____ ADDRESS OF SATELLITE SITE _____

ADDRESS OF SATELLITE SITE _____ ADDRESS OF SATELLITE SITE _____

ADDRESS OF SATELLITE SITE _____ ADDRESS OF SATELLITE SITE _____

To provide Personal Care Homes

TYPE OF SERVICE(S) TO BE PROVIDED

The total number of persons which may be cared for at one time may not exceed 8
or the maximum capacity permitted by the Certificate of Occupancy, whichever is smaller. (MAXIMUM CAPACITY)

Restrictions: _____

This certificate is granted in accordance with the Public Welfare Code of 1967, P.L. 31, as amended, and Regulations

55 Pa.Code Chapter 2600: Personal Care Homes

(MANUAL NUMBER AND TITLE OF REGULATIONS)

and shall remain in effect from February 2, 2010 until February 2, 2011,
unless sooner revoked for non-compliance with applicable laws and regulations.

No: 474240

Robert E. Robinson

ISSUING OFFICER

Kevin T. Casey

DEPUTY SECRETARY

NOTE: This certificate is issued for the above site(s) only and is not transferable and should be posted in a conspicuous place in the facility.



COMMONWEALTH OF PENNSYLVANIA
DEPARTMENT OF PUBLIC WELFARE
PO BOX 2675
HARRISBURG, PENNSYLVANIA 17105-2675

ADULT RESIDENTIAL LICENSING

JAN 29 2010

PHONE: (717) 783-3670
FAX: (717) 783-5662

Dennis W. Nebel, Psy.D., Executive Director
Westfield Behavioral Health Affiliates, Inc.
130 West North Street
New Castle, Pennsylvania 16101

RE: Westfield
5826 Old Pulaski Road
New Wilmington, Pennsylvania 16142

Dear Doctor Nebel:

As a result of the Department of Public Welfare's licensing inspection on December 15, 2009 of the above personal care home, the violations with 55 Pa.Code Ch. 2600 (relating to Personal Care Homes) specified on the enclosed Violation Report were found.

All violations specified on the enclosed Violation Report must be corrected by the dates specified on the Violation Report and continued compliance with 55 Pa.Code Ch. 2600 must be maintained. As soon as each violation is corrected, notify the Department's Regional Office of Adult Residential Licensing so that compliance can be verified.

A regular license is being issued based on the enclosed Violation Report. Your license is enclosed.

Sincerely,

A handwritten signature in black ink that reads "Kevin T. Casey".

Kevin T. Casey
Deputy Secretary

Enclosures
License
Violation Report

VIOLATION REPORT
PERSONAL CARE HOMES – 55 Pa.Code Chapter 2600

JAN 6 2010

Adult Residential Licensing

NAME AND ADDRESS OF PERSONAL CARE HOME Westfield, 5826 Old Pulaski Road, New Wilmington, PA 16142		CURRENT LICENSE NUMBER 474240	
INSPECTION DATE(S) (Include all dates of the inspection) 12/15/09		REGIONAL REPRESENTATIVE M. Glidden	
SIGNATURE OF LEGAL ENTITY <i>[Signature]</i>	DATE 1/4/10	REGIONAL LICENSING APPROVAL OF PLAN OF CORRECTION <i>[Signature]</i>	DATE 1/21/10

1 REGULATION 55 Pa.Code § 2600.	2 VIOLATION	3 DATE BY WHICH CORRECTION WILL BE COMPLETED	4 PLAN OF CORRECTION (include a step-by-step plan to correct the specific violation, as well as a plan to assure the violation does not recur)	5 DATE COMPLIANCE VERIFIED BY DPW
53a The administrator shall have one of the following qualifications: (6) For a home serving 8 or fewer residents, a general education development (GED) diploma or high school diploma and 2 years direct care or administrative experience in the human services field.	Administrator A did not have documentation of their educational qualifications.	Approx. 1/15/10	The administrator called New Castle Senior High School and requested an official copy of transcripts and proof of graduation. The information will be mailed to the Director of Human Resources at Human Services Center in approximately 2 weeks. In the future the administrator will ensure that all proper qualifications will be kept in all employee files in compliance with regulation 53a. The administrator will check all employee files at least twice a year to ensure all documentation is accurate and present in each file. A copy of the administrator's transcripts will be faxed to your office upon receipt.	1/14/10 MSG

VIOLATION REPORT
 PERSONAL CARE HOMES – 55 Pa.Code Chapter 2600

JAN 8 2010

NAME AND ADDRESS OF PERSONAL CARE HOME Westfield, 5826 Old Pulaski Road, New Wilmington, PA 16142		CURRENT LICENSE NUMBER 474240	
INSPECTION DATE(S) (Include all dates of the inspection) 12/15/09		REGIONAL REPRESENTATIVE M. Glidden	
SIGNATURE OF LEGAL ENTITY <i>Kim Penino</i>	DATE 1/4/10	REGIONAL LICENSING APPROVAL OF PLAN OF CORRECTION BAM	DATE 1/21/10

1 REGULATION 55 Pa.Code § 2600.	2 VIOLATION	3 DATE BY WHICH CORRECTION WILL BE COMPLETED	4 PLAN OF CORRECTION (include a step-by-step plan to correct the specific violation, as well as a plan to assure the violation does not recur)	5 DATE COMPLIANCE VERIFIED BY DPW
65e Direct care staff persons shall have at least 12 hours of annual training relating to their job duties.	Staff person B had only 6 hours of training for the training year of October 2008 to October 2009.	1/4/10	The administrator will keep accurate record of all training that staff attends. The administrator will also change all employee training dates to the year starting in January and ending in December to help keep annual trainings up to date. Each employee will receive 12 hrs. of training in accordance with regulation 65e. Staff person B will make up 6 hrs. of training in addition to her required 12 hrs. in the year 2010.	Steps have been taken to correct violation; full compliance is not verifiable 1/14/10 MSG Date Initials (DPW)

VIOLATION REPORT
PERSONAL CARE HOMES – 55 Pa.Code Chapter 2600

JAN 6 2010

NAME AND ADDRESS OF PERSONAL CARE HOME Westfield, 5826 Old Pulaski Road, New Wilmington, PA 16142		CURRENT LICENSE NUMBER 474240 <i>Adult Residence Licensing</i>	
INSPECTION DATE(S) (Include all dates of the inspection) 12/15/09		REGIONAL REPRESENTATIVE M. Glidden	
SIGNATURE OF LEGAL ENTITY <i>Jim Perrino</i>	DATE 1/4/10	REGIONAL LICENSING APPROVAL OF PLAN OF CORRECTION <i>BAM</i>	DATE 1/21/10

1 REGULATION 55 Pa.Code § 2600.	2 VIOLATION	3 DATE BY WHICH CORRECTION WILL BE COMPLETED	4 PLAN OF CORRECTION (include a step-by-step plan to correct the specific violation, as well as a plan to assure the violation does not recur)	5 DATE COMPLIANCE VERIFIED BY DPW
130a There shall be an operable automatic smoke detector located within 15 feet of each bedroom door.	The smoke detector in the hallway was located 18 feet from bedrooms #1 and 2.	1/5/10	A smoke detector was purchased and placed in the hallway by bedrooms #1 and #2. In the future the administrator will ensure there is a smoke detector located at least 15 ft. from each bedroom in compliance with regulation 130a. A receipt showing proof of purchase is enclosed.	1/11/10 MSG

VIOLATION REPORT
PERSONAL CARE HOMES – 55 Pa.Code Chapter 2600

JAN 6 2010

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132b A fire safety inspection and fire drill conducted by a fire safety expert shall be completed annually. Documentation of this fire drill and fire safety inspection shall be kept.	The home did not have a fire drill or fire safety inspection conducted by a fire safety expert within the last year.	1/5/10	On 12/15/09 the date of my annual inspection I called The Pulaski Fire Chief more and left a message with him stating that Westfield was due for our annual fire inspection. I recieved a call back from him on 1/4/10 and he scheduled to come to westfield 1/5/10. His report is enclosed. In the future the Administrator will have proper documentation for annual fire inspections conducted by a fire safety expert in compliance with 132b. The administrator will also contact a fire safety expert in an appropriate amount of time ahead of the year end date.	Steps have been taken to correct violation; full compliance is not verifiable 1/11/10 <i>MSG</i> Date Initials (DPW)

VIOLATION REPORT
PERSONAL CARE HOMES – 55 Pa.Code Chapter 2600

JAN 6, 2010


NAME AND ADDRESS OF PERSONAL CARE HOME Westfield, 5826 Old Pulaski Road, New Wilmington, PA 16142		Adult Residential Licensing	CURRENT LICENSE NUMBER 474240
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SIGNATURE OF LEGAL ENTITY <i>Jim Penino</i>	DATE 1/4/10	REGIONAL LICENSING APPROVAL OF PLAN OF CORRECTION <i>BAM</i>	DATE 1/21/10

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182b Prescription medication that is not self-administered by a resident shall be administered by one of the following: (1) A physician, licensed dentist, licensed physician's assistant, registered nurse, certified registered nurse practitioner, licensed practical nurse or licensed paramedic. (2) A graduate of an approved nursing program functioning under the direct supervision of a professional nurse who is present in the home. (3) A student nurse of an	Staff person B administered all medications on 12/11/09, 12/13/09, and 12/14/09 at the 4pm and 9pm administration times to residents in the home. Staff person B does not meet any of the requirements to administer medications.	1/13/10	Administrator will keep proper documentation on all trainings that staff attends. A medication training is scheduled for all staff on 1/13/10. The administrator will ensure that all medication training is completed each year in accordance with regulation 182b. The staff person B will be monitored while administering medications by a trained medication trainer in accordance with regulation 182b(4) until proper requirements are achieved. Proof of training will be faxed to your office on 1/13/10.	Steps have been taken to correct violation; full compliance is not verifiable 1/21/10 <i>BAM</i> Date Initials (DPW)

VIOLATION REPORT
PERSONAL CARE HOMES – 55 Pa.Code Chapter 2600

Western Region

JAN 8 2010

NAME AND ADDRESS OF PERSONAL CARE HOME Westfield, 5826 Old Pulaski Road, New Wilmington, PA 16142		CURRENT LICENSE NUMBER 474240	
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SIGNATURE OF LEGAL ENTITY 	DATE 1/4/10	REGIONAL LICENSING APPROVAL OF PLAN OF CORRECTION BAM	DATE 1/21/10

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approved nursing program functioning under the direct supervision of a member of the nursing school faculty who is present in the home. (4) A staff person who has completed the medication administration training in 190 for the administration of oral; topical; eye, nose and ear drop prescription medications; insulin injections and epinephrine injections for insect bites or other allergies.				

Western Region
JAN 6 2010

VIOLATION REPORT
PERSONAL CARE HOMES – 55 Pa.Code Chapter 2600

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191 The home shall educate the resident of his/her right to question or refuse a medication if he/she believes there may be a medication error. Documentation of this resident education shall be kept.	There was no documentation available that Resident #1 and #2 had been educated of their right to question or refuse a medication if they believe there may have been a medication error.	1/4/10	The administrator has enclosed a copy of each residents individual acknowledgment that they have been informed of their right to refuse medications. These documents will be place in each residents individual files and a copy will be given to them upon request. In the future The administrator will have proper documentation The he/she has been advised of their right to refuse medications	1/11/10 MSG

VIOLATION REPORT
PERSONAL CARE HOMES – 55 Pa.Code Chapter 2600

Western Region

JAN 6 2010

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SIGNATURE OF LEGAL ENTITY <i>Jim Perrino</i>	DATE 1/4/10	REGIONAL LICENSING APPROVAL OF PLAN OF CORRECTION <i>BAM</i>	DATE 1/21/10

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226a The resident shall be assessed for mobility needs as part of the resident's assessment.	The medical evaluation for Resident #1, dated 3/13/09, assessed the resident as immobile and the assessment, dated 3/30/09, assessed them as mobile.	1/7/10	An update Medical Evaluation has been schedule for resident #1 for January 7, 2010. In the future The Administrator will review each medical evaluation to ensure the correct information is written. A copy of the updated medical Evaluation for Resident #1 will be faxed to your office on 1/7/10.	1/11/10 MSG