

COMMONWEALTH OF PENNSYLVANIA
DEPARTMENT OF PUBLIC WELFARE

CERTIFICATE OF COMPLIANCE

This Certificate is hereby granted to LUTHERAN SOCIAL SERVICES OF SOUTH CENTRAL PENNSYLVANIA

To operate LUTHERAN RETIREMENT VILLAGE AT UTZ TERRACE

Located at 2100 UTZ TERRACE, HANOVER, PA 17331

ADDRESS OF SATELLITE SITE

ADDRESS OF SATELLITE SITE

ADDRESS OF SATELLITE SITE

ADDRESS OF SATELLITE SITE

ADDRESS OF SATELLITE SITE

ADDRESS OF SATELLITE SITE

To provide Personal Care Homes

TYPE OF SERVICE(S) TO BE PROVIDED

The total number of persons which may be cared for at one time may not exceed 46
or the maximum capacity permitted by the Certificate of Occupancy, whichever is smaller.

(MAXIMUM CAPACITY)

Restrictions: _____

This certificate is granted in accordance with the Public Welfare Code of 1967, P.L. 31, as amended, and Regulations

55 Pa. Code Chapter 2600: Personal Care Homes

(MANUAL NUMBER AND TITLE OF REGULATIONS)

and shall remain in effect from January 14, 2010 until January 14, 2011,
unless sooner revoked for non-compliance with applicable laws and regulations.

No: 322850

Robert E. Robinson

ISSUING OFFICER

Kevin T. Casey

DEPUTY SECRETARY

NOTE: This certificate is issued for the above site(s) only and is not transferable
and should be posted in a conspicuous place in the facility.

PW 628 - 4/02



COMMONWEALTH OF PENNSYLVANIA
DEPARTMENT OF PUBLIC WELFARE
PO BOX 2675
HARRISBURG, PENNSYLVANIA 17105-2675

ADULT RESIDENTIAL LICENSING

JAN 14 2010

PHONE: (717) 783-3670
FAX: (717) 783-5662

Mr. Robert L. Rundle, Jr., CEO
Lutheran Social Services of South Central Pennsylvania
1050 Pennsylvania Avenue
York, Pennsylvania 17404

RE: Lutheran Retirement Village at Utz Terrace
2100 Utz Terrace
Hanover, Pennsylvania 17331

Dear Mr. Rundle:

As a result of the Department of Public Welfare's licensing inspection on December 14, 2009 and December 15, 2009 of the above personal care home, the violations with 55 Pa.Code Ch. 2600 (relating to Personal Care Homes) specified on the enclosed Violation Report were found.

All violations specified on the enclosed Violation Report must be corrected by the dates specified on the Violation Report and continued compliance with 55 Pa.Code Ch. 2600 must be maintained. As soon as each violation is corrected, notify the Department's Regional Office of Adult Residential Licensing so that compliance can be verified.

A regular license is being issued based on the enclosed Violation Report. Your license is enclosed.

Sincerely,

A handwritten signature in cursive script that reads "Kevin T. Casey".

Kevin T. Casey
Deputy Secretary

Enclosures
License
Violation Report

VIOLATION REPORT
PERSONAL CARE HOMES – 55 Pa.Code Chapter 2600

NAME AND ADDRESS OF PERSONAL CARE HOME Lutheran Retirement Village at Utz Terrace, 2100 Utz Terrace, Hanover, PA 17331		CURRENT LICENSE NUMBER 322850	
INSPECTION DATE(S) (Include all dates of the inspection) 12-14-09 and 12-15-09		REGIONAL REPRESENTATIVE D. Jones, T. Roth	
SIGNATURE OF LEGAL ENTITY <i>Bryan Amore</i>	DATE 12/23/09	REGIONAL LICENSING APPROVAL OF PLAN OF CORRECTION <i>[Signature]</i>	DATE 12/29/09

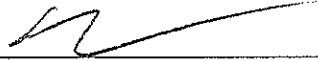
1 REGULATION 55 Pa.Code § 2600.	2 VIOLATION/CLASS	3 DATE BY WHICH CORRECTION WILL BE COMPLETED	4 PLAN OF CORRECTION (include a step-by-step plan to correct the specific violation, as well as a plan to assure the violation does not recur)	5 DATE COMPLIANCE VERIFIED BY DPW
51,52 51 Criminal history checks and hiring policies shall be in accordance with the Older Adult Protective Services Act (OAPSA) (35 P.S. §§ 10225.101-10225.5102) and 6 Pa.Code Chapter 15 (protective services for older adults). 52 Hiring, retention and utilization of staff persons shall be in accordance with the Older Adult Protective Services Act (35 P.S. §§ 10225.101—10225.5102) and 6 Pa.Code Chapter 15 (protective services for older adults) and other applicable regulations.	Staff person, A, hired on 8-3-09, has not lived in Pennsylvania for the past two years. A FBI background check has not been done.	1/23/09	Staff person, A went to the West Manheim Police Station on 12/23/09 to begin his FBI background check fingerprints were taken. See attachment. Results in 3-4 wks Staff person, A is working under supervision. Staff person, A is not doing any direct care unless a staff person is with him during the the entire time. Human Resources Asst. will clearly review all new employees for out of state addresses and monitoring the number of years out of state if and as it applies. An audit sheet has been initiated (it is located at the end of this report) The audit will run for 1 year	Steps have been taken to correct violation; full compliance is not verifiable 1/4/10 Date <i>[Signature]</i> Initials (DPW)

RECEIVED

DEC 29 2009

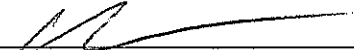
Adult Residential Licensing

VIOLATION REPORT
PERSONAL CARE HOMES – 55 Pa.Code Chapter 2600

NAME AND ADDRESS OF PERSONAL CARE HOME		CURRENT LICENSE NUMBER	
Lutheran Retirement Village at Utz Terrace, 2100 Utz Terrace, Hanover, PA 17331		322850	
INSPECTION DATE(S) (Include all dates of the inspection) 12-14-09 and 12-15-09		REGIONAL REPRESENTATIVE D. Jones, T. Roth	
SIGNATURE OF LEGAL ENTITY <i>Bun Ami Next</i>	DATE 12/23/09	REGIONAL LICENSING APPROVAL OF PLAN OF CORRECTION 	DATE 12/29/09

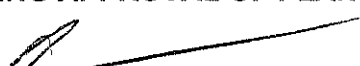
1 REGULATION 55 Pa.Code § 2600.	2 VIOLATION/CLASS	3 DATE BY WHICH CORRECTION WILL BE COMPLETED	4 PLAN OF CORRECTION (include a step-by-step plan to correct the specific violation, as well as a plan to assure the violation does not recur)	5 DATE COMPLIANCE VERIFIED BY DPW
63a At least one staff person for every 50 residents who is trained in first aid and certified in obstructed airway techniques and cardiopulmonary resuscitation shall be present in the home at all times.	On 12-7-09, the 11:00 PM to 7:00 AM shift did not have a staff person trained in First Aid. Two people work the 11:00 PM – 7:00 AM shift. Staff person, B, did not have CPR/First Aid training. Staff person, C, only had CPR training.	12/28/09 for Staff Person C Staff person B will be trained on 1/5/09	Staff person C will complete the First Aid Training on 12/28/09 at 10:00 AM. First Aid card will be copied and faxed to DPW upon receiving it from the instructor. Staff person B will be receiving the full CPR/First Aid Training on 1/5/09 10a-3p. The card will be copied and faxed to DPW upon receiving it from the instructor. An audit will be conducted on all new hires and existing staff monitoring their re-certifications and compliance with proper training cards pertaining to First Aid and CPR. (Audit tool at end of report.)	Steps have been taken to correct violation; full compliance is not verifiable <u>1/4/10</u> <u>WJES</u> Date Initials (DPW)

VIOLATION REPORT
PERSONAL CARE HOMES – 55 Pa.Code Chapter 2600

NAME AND ADDRESS OF PERSONAL CARE HOME Lutheran Retirement Village at Utz Terrace, 2100 Utz Terrace, Hanover, PA 17331		CURRENT LICENSE NUMBER 322850	
INSPECTION DATE(S) (Include all dates of the inspection) 12-14-09 and 12-15-09		REGIONAL REPRESENTATIVE D. Jones, T. Roth	
SIGNATURE OF LEGAL ENTITY <i>Beta A Midwest</i>	DATE 12/23/09	REGIONAL LICENSING APPROVAL OF PLAN OF CORRECTION 	DATE <i>12/24/09</i>


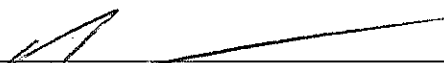
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121a Stairways, hallways, doorways, passageways and egress routes from rooms and from the building shall be unlocked and unobstructed.	On 12-15-09, the basement double exit door near the elevator was obstructed by many items stored against the wall. Items included four aluminum ladders, a dishwasher, oven, and a large metal cabinet on rollers. The Residents have access to the basement due to personal belongings stored in an area directly across from the elevator.	12/16/09	The fire exit was cleared out in its entirety 12/16/09. (See attached photo) . This fire safe evacuation route has been added to the monthly safety insp.that is completed by the Safety Committee. (insp= Inspection) (Audit tool provided at the end of this reprot.)	<i>MES 1/4/10</i>

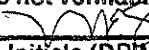
VIOLATION REPORT
PERSONAL CARE HOMES – 55 Pa.Code Chapter 2600

NAME AND ADDRESS OF PERSONAL CARE HOME Lutheran Retirement Village at Utz Terrace, 2100 Utz Terrace, Hanover, PA 17331		CURRENT LICENSE NUMBER 322850	
INSPECTION DATE(S) (Include all dates of the inspection) 12-14-09 and 12-15-09		REGIONAL REPRESENTATIVE D. Jones, T. Roth	
SIGNATURE OF LEGAL ENTITY <i>Beth Ann Mast</i>	DATE 12/23/09	REGIONAL LICENSING APPROVAL OF PLAN OF CORRECTION 	DATE <i>12/29/09</i>

1 REGULATION 55 Pa.Code § 2600.	2 VIOLATION/CLASS	3 DATE BY WHICH CORRECTION WILL BE COMPLETED	4 PLAN OF CORRECTION (include a step-by-step plan to correct the specific violation, as well as a plan to assure the violation does not recur)	5 DATE COMPLIANCE VERIFIED BY DPW
141a-2 The medical evaluation shall include the following: (7) Medication regimen, contraindicated medications, medication side effects and the ability to self-administer medications.	-The medical evaluation, dated 4-7-09 for Resident 7 states 'see list' under the Medications section but there is no list attached. -The medical evaluation, dated 9-22-08, for Resident 2 states 'see list' under the Medications section but there is no list attached.	12/15/09	Medication list received for Resident # 7 from Dr. Zickafoose this date . It has been attached to the MA55 (See attachment #1) Medication list for Resident #2 was located in the residents chart and has been attached to the MA55 (Attachment #2) The PC Secretary in conjunction with the Personal Care Home Administrator will audit the MA51's/55's to be sure that they are in compliance with any attachments. All charts were audited on 12/15/09 and 12/16/09 for accuracy. (Audit tool located at the end of this report)	<i>MCS 1/4/10</i>

VIOLATION REPORT
PERSONAL CARE HOMES – 55 Pa.Code Chapter 2600

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Lutheran Retirement Village at Utz Terrace, 2100 Utz Terrace, Hanover, PA 17331		322850	
INSPECTION DATE(S) (Include all dates of the inspection) 12-14-09 and 12-15-09		REGIONAL REPRESENTATIVE D. Jones, T. Roth	
SIGNATURE OF LEGAL ENTITY 	DATE 12/23/09	REGIONAL LICENSING APPROVAL OF PLAN OF CORRECTION 	DATE 12/29/09

1 REGULATION 55 Pa.Code § 2600.	2 VIOLATION/CLASS	3 DATE BY WHICH CORRECTION WILL BE COMPLETED	4 PLAN OF CORRECTION (include a step-by-step plan to correct the specific violation, as well as a plan to assure the violation does not recur)	5 DATE COMPLIANCE VERIFIED BY DPW
226a The resident shall be assessed for mobility needs as part of the resident's assessment.	The medical evaluation, dated 1-8-09, for Resident 5 indicates 'unable to move without full assistance and oral prompting'. The assessment, dated, 1-19-09 indicates a mobile Resident.	12/15/09	<p>A new MA55 was completed for Resident #5. Assessment was also updated for accuracy and compliance</p> <p style="text-align: center;">(See attachment)</p> <p>A full audit of all charts was completed on 12/16/09 and 12/16/09 for accuracy and compliance.</p> <p>(The audit tool is attached)</p> <p>The audit will be in affect for one year) This process will also be added to the admission and annual assessment review process)</p>	<p>Steps have been taken to correct violation; full Compliance is not verifiable</p> <p>1/4/10  Date Initials (DPW)</p>